

# **A MODULAR APPROACH TO ADDRESSING MODEL DESIGN, SCALE, AND PARAMETER ESTIMATION ISSUES IN DISTRIBUTED MODELING**

**George Leavesley , USGS, Denver, CO**

# BACKGROUND

1983 - WMO Intercomparison of Snowmelt Models

11 models

1999 - Z-L Yang, University of Arizona Survey of  
Snowmelt Models

42 models

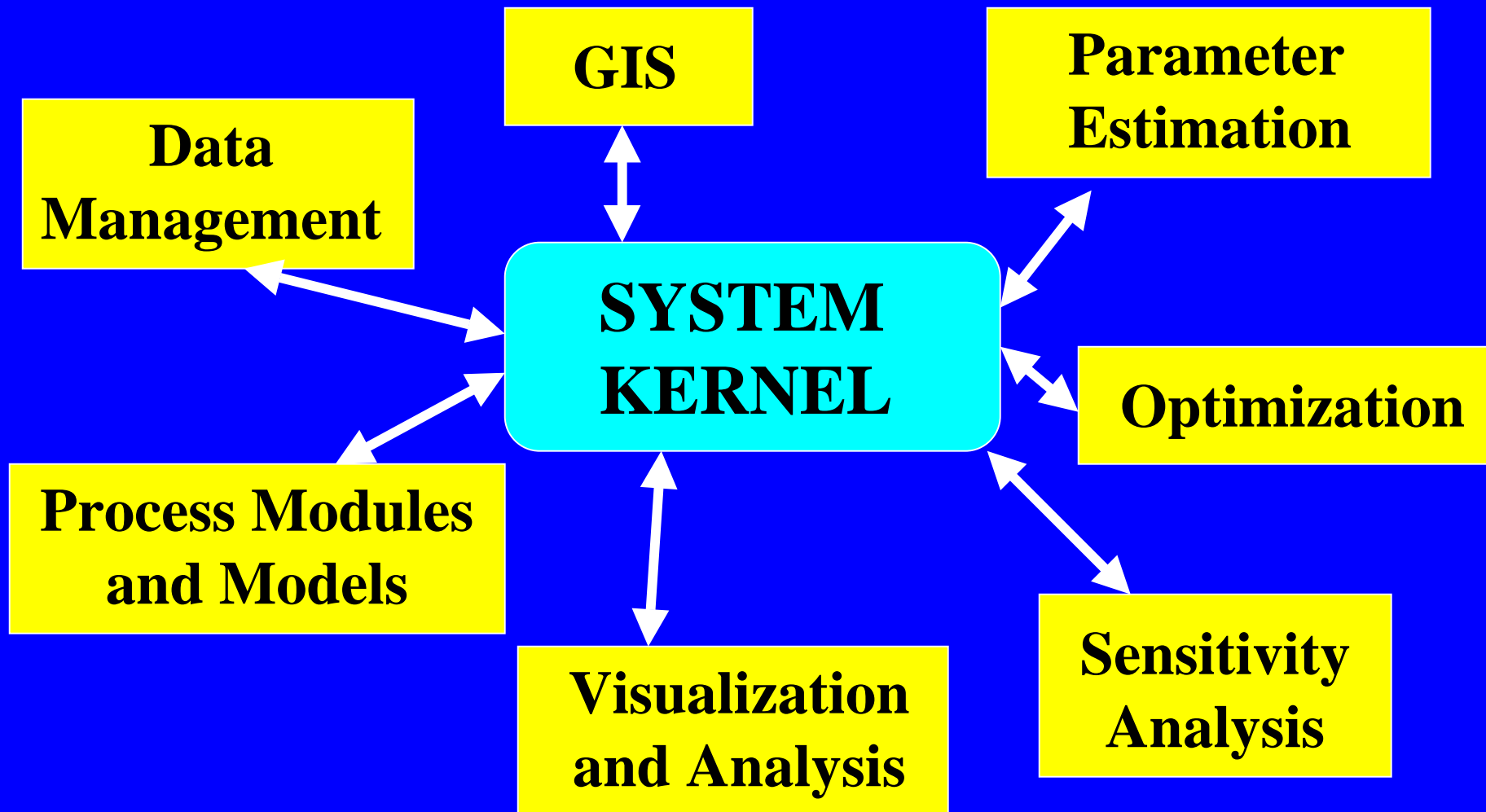
# STARTING POINTS

- There are no universal models
- Models for different purposes require different levels of detail and comprehensiveness
- Appropriate model process conceptualizations are a function of problem objectives, data constraints, and spatial and temporal scales of application

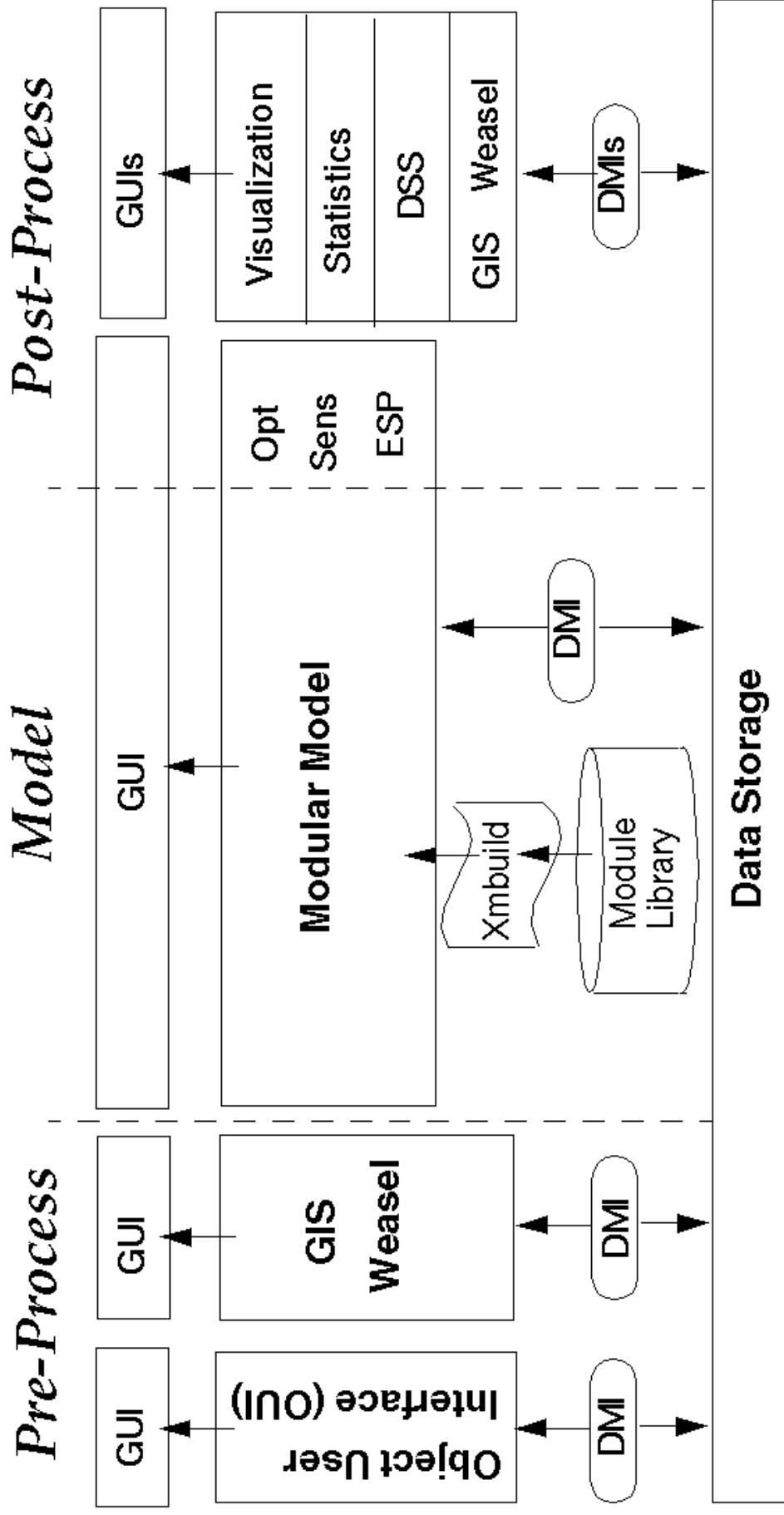
# STARTING POINTS

- For a given set of constraints, improved distributed models can be created by coupling the appropriate process conceptualizations

# GENERIC MODULAR SYSTEM STRUCTURE (toolbox)



# The Modular Modeling System (MMS)



# LEVELS OF MODULAR DESIGN

- **PROCESS**
- **MODEL**
- FULLY COUPLED MODELS
- LOOSELY COUPLED MODELS
- RESOURCE MANAGEMENT DECISION SUPPORT SYSTEMS
- ANALYSIS AND SUPPORT TOOLS

# MODULE LIBRARY

PRECIP	EVAP	SOLAR RAD	SOIL	SNOW
A	A	A	A	A
B	B	B	B	B
C	C		C	C
			D	D
				E
				F



# CRITERIA AND RULES FOR GOOD MODULE DESIGN

Modules should

- relate directly to real world components or processes
- have input and output variables that are measurable values
- communicate solely via these input and output variables

Reynolds J.F., and Acock, B., 1997, **Modularity and genericness in plant and ecosystem models**: Ecological Modeling 94, p 7-16


## Module Locations

```

/home2/mfuchs/mms_work/modules/src/di:
/home2/mfuchs/mms_work/modules/src/en:
/home2/mfuchs/mms_work/modules/src/pr:
/home2/mfuchs/mms_work/modules/src/us:
/home2/mfuchs/mms_work/modules/src/mv:
/home2/mfuchs/mms_work/modules/src/pa:
/home2/mfuchs/mms_work/modules/src/he:
/home/mms/modules/basin_def/
/home/mms/modules/groundwater/
/home/mms/modules/interception/
/home/mms/modules/obs_data/
/home/mms/modules/pot_et/
/home/mms/modules/precip_distrib/
/home/mms/modules/rmnt_zone/

```

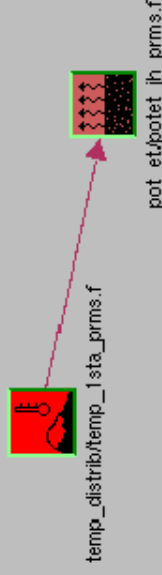
## Available Modules

 potet\_jh\_prms.f

 potet\_epan\_prms.f

 potet\_hamon\_prms.f

## Current Model



## Slots

## Input Slots

tmaxf

tmaxc

tavgf

tavgc

swrad

daily\_swrad

## Output Slots

transp\_on

potet

basin\_potet

## Modules Which Produce Variable "tmaxf"

```

* /z/snow/models/mms/modules/temp_distrib/temp_1sta_prms.f
* /z/snow/models/mms/modules/temp_distrib/temp_2sta_prms.f
* /z/snow/models/mms_work/modules/src/prms_unit_orig/temp_1sta_prms.f
* /z/snow/models/mms_work/modules/src/prms_orig/temp_2sta_prms.f
* /z/snow/models/mms_work/modules/src/prms_orig/temp_1sta_prms.f

```

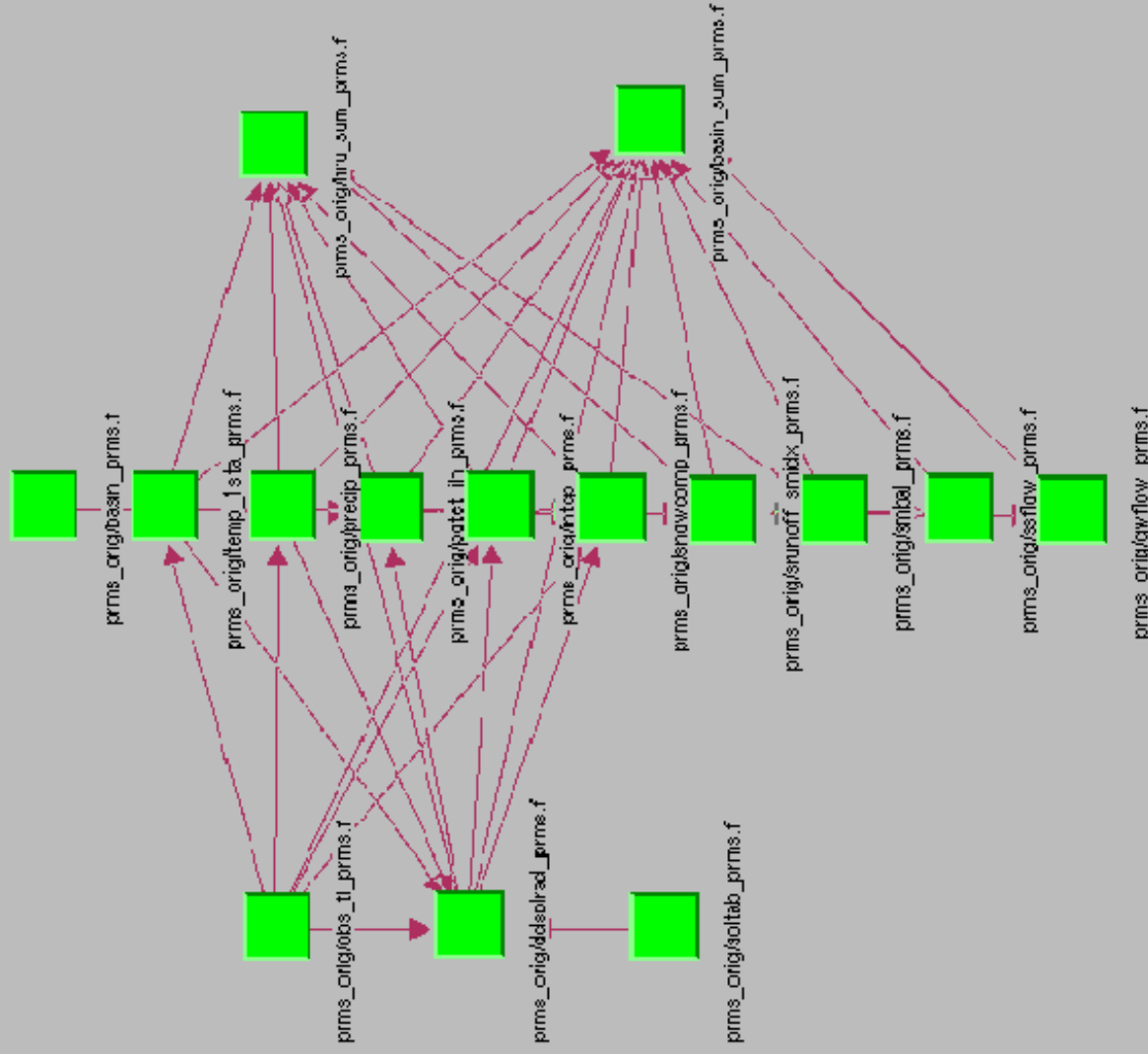
## Module Locations

```
/home2/mfuchs/hms_work/modules/src/dli/  
/home2/mfuchs/hms_work/modules/src/en/  
/home2/mfuchs/hms_work/modules/src/pr/  
/home2/mfuchs/hms_work/modules/src/us/  
/home2/mfuchs/hms_work/modules/src/hv/  
/home2/mfuchs/hms_work/modules/src/pa/  
/home2/mfuchs/hms_work/modules/src/he/  
/home/hms/modules/basin_def/  
/home/hms/modules/groundwater/  
/home/hms/modules/interception/  
/home/hms/modules/obs_data/  
/home/hms/modules/pot_et/  
/home/hms/modules/precip_distrib/  
/home/hms/modules/mnt_zone/
```

## Available Modules

**potet\_jh\_prms.f****potet\_epan\_prms.f****potet\_hamon\_prms.f**

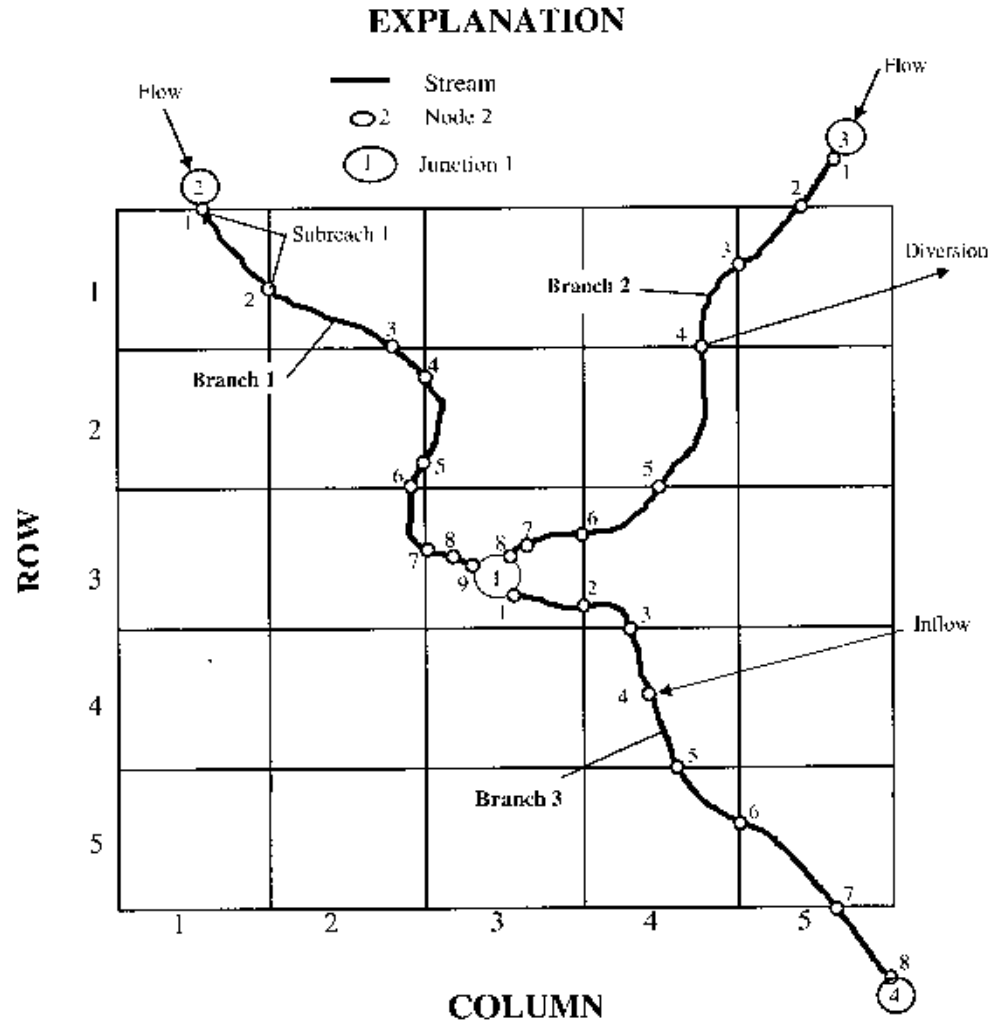
## Current Model



# LEVELS OF MODULAR DESIGN

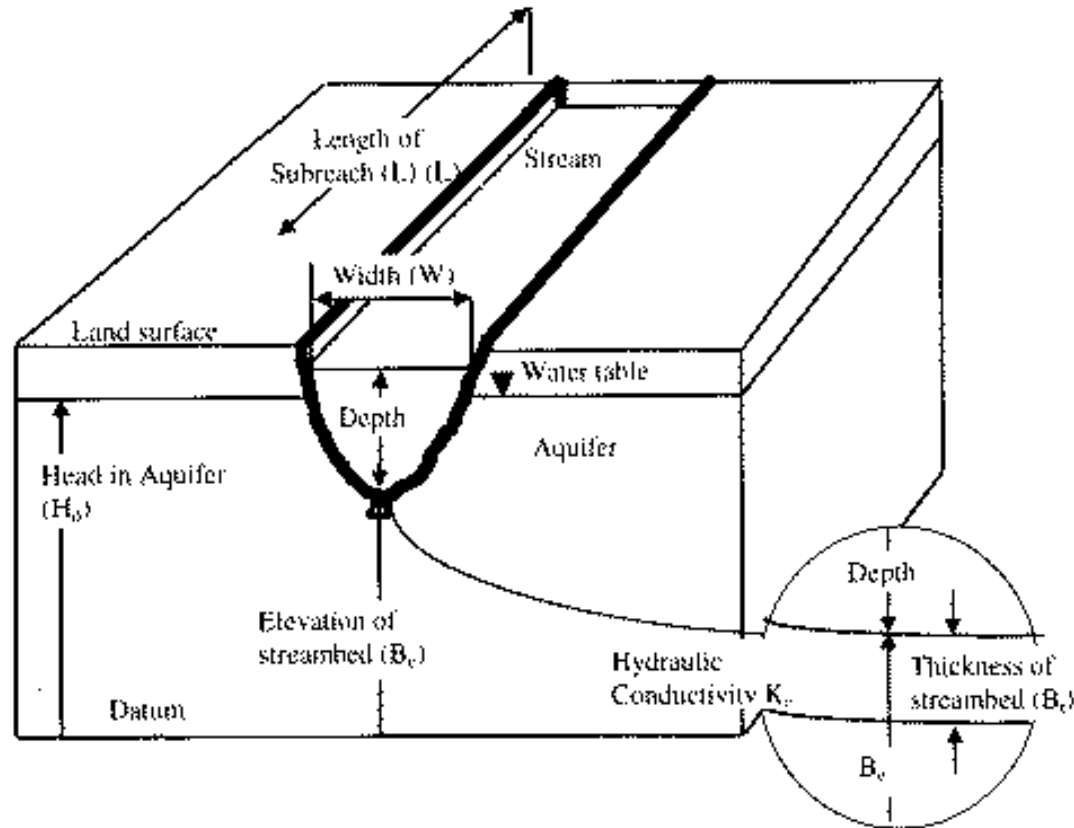
- PROCESS
- MODEL
- **FULLY COUPLED MODELS**
- LOOSELY COUPLED MODELS
- RESOURCE MANAGEMENT DECISION SUPPORT SYSTEMS
- ANALYSIS AND SUPPORT TOOLS

# Coupled MODFLOW and DAFLOW



**Figure 2.** Example schematic showing the numbering system of the linked surface-water and ground-water model.

# Ground Water - Surface Water Coupling



**Figure 3.** Diagram showing one ground-water cell with stream depicting properties used in calculation of the streambed leakage for a subreach.

# For Fully Coupled Models or other Multi-Processor, Parallel Processing Needs



Efficient  
Coupling of  
Parallel Scientific  
Applications  
Using PAWS

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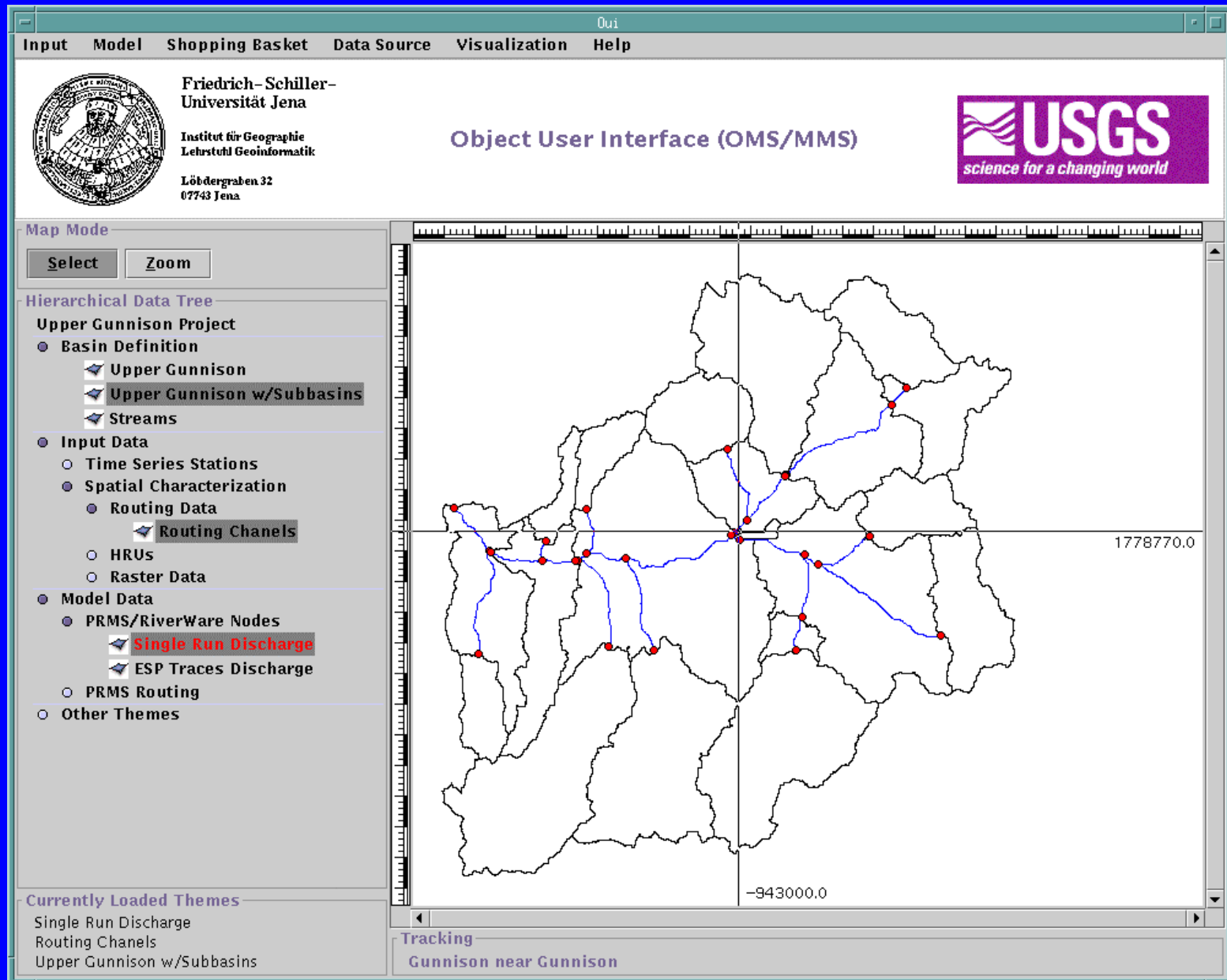
Advanced Computing Laboratory  
Los Alamos National Laboratory

# LEVELS OF MODULAR DESIGN

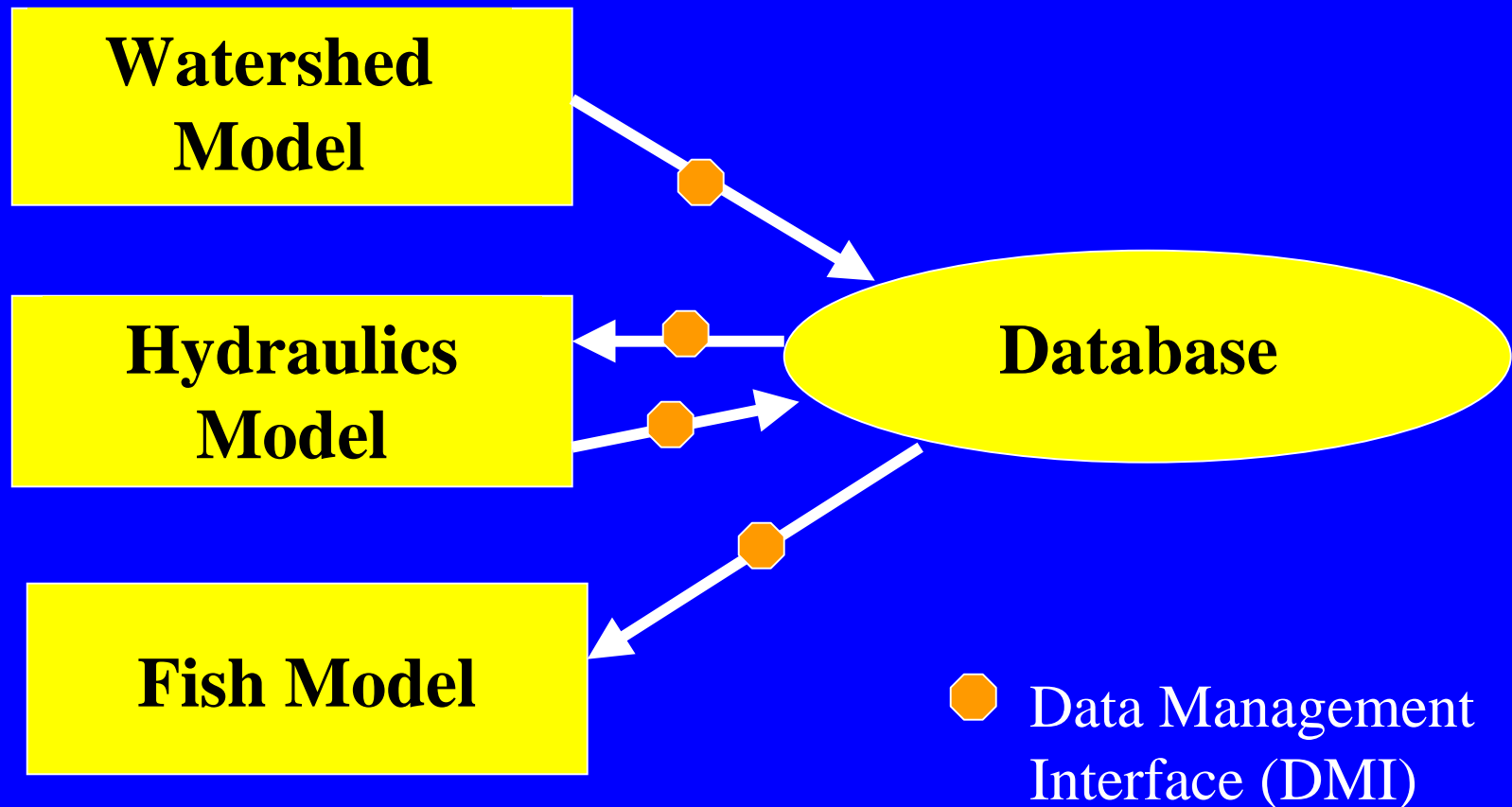
- PROCESS
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# MODELED SUBBASINS AND FORECAST NODES



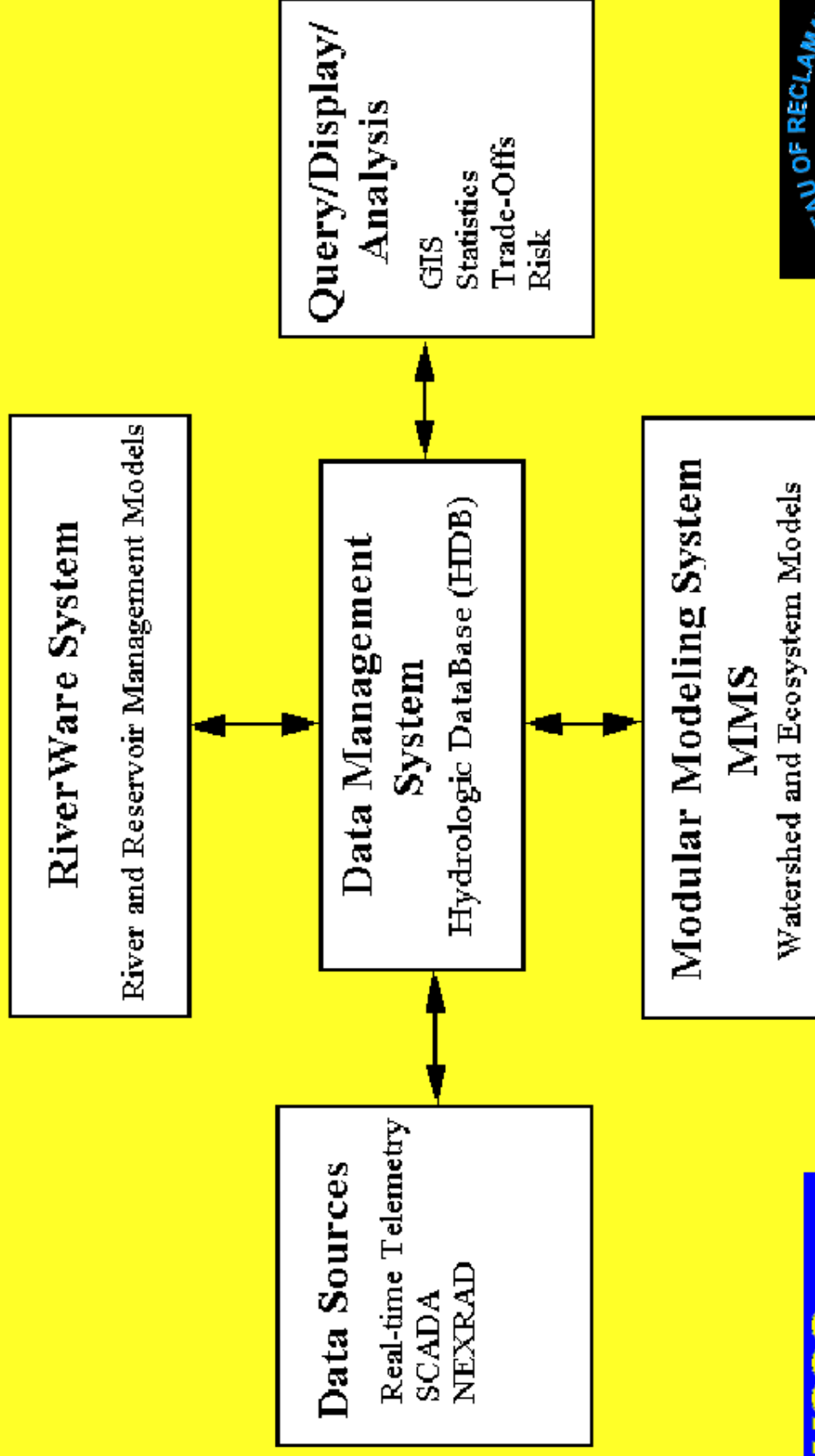
# LOOSLEY COUPLED MODELS



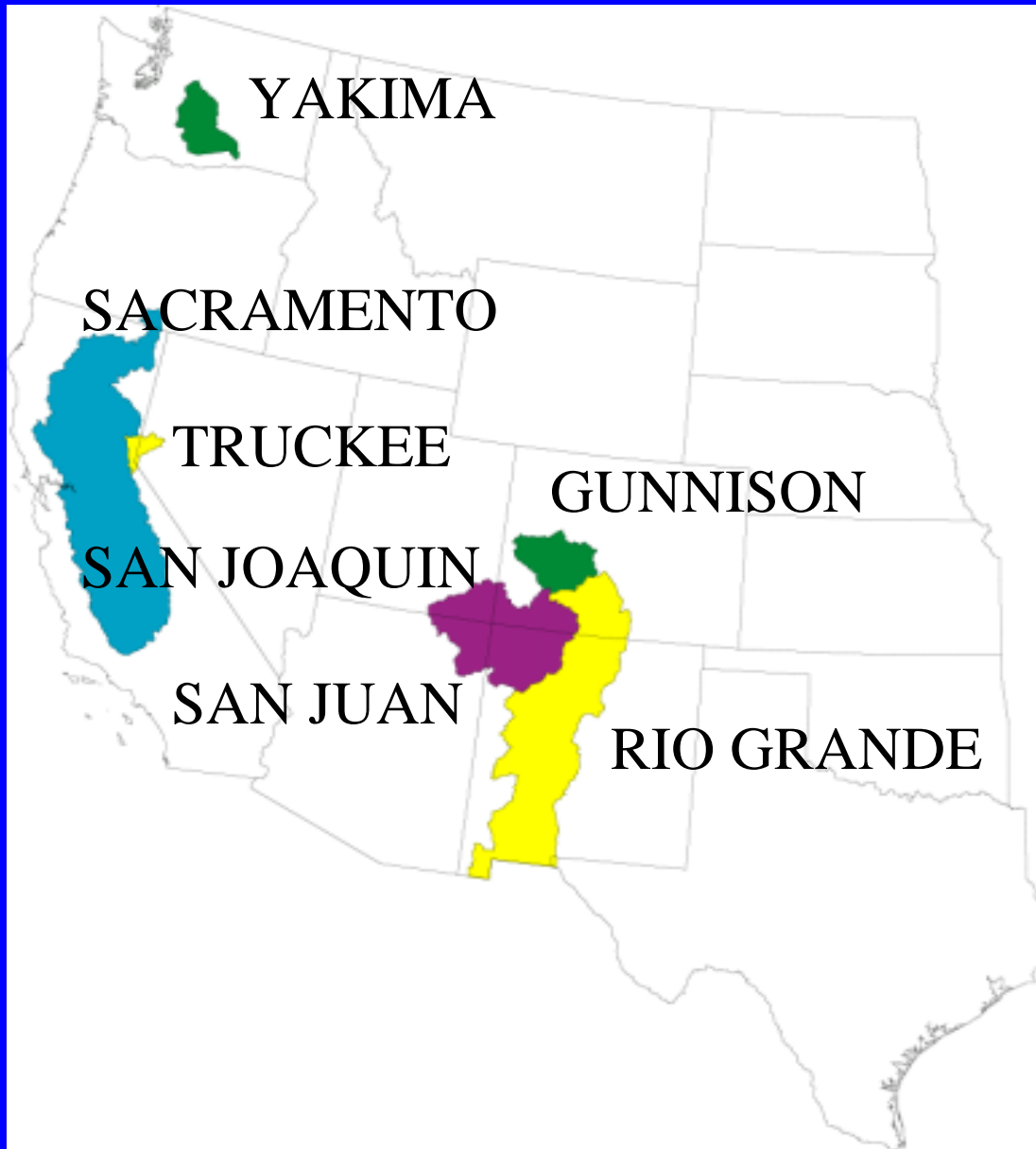
# LEVELS OF MODULAR DESIGN




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DECISION SUPPORT SYSTEMS**
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# DATABASE-CENTERED DECISION SUPPORT SYSTEM



# WARSMP BASINS



-  **CURRENTLY OPERATIONAL**
-  **OPERATIONAL 6 - 12 MONTHS**
-  **IN DEVELOPMENT**

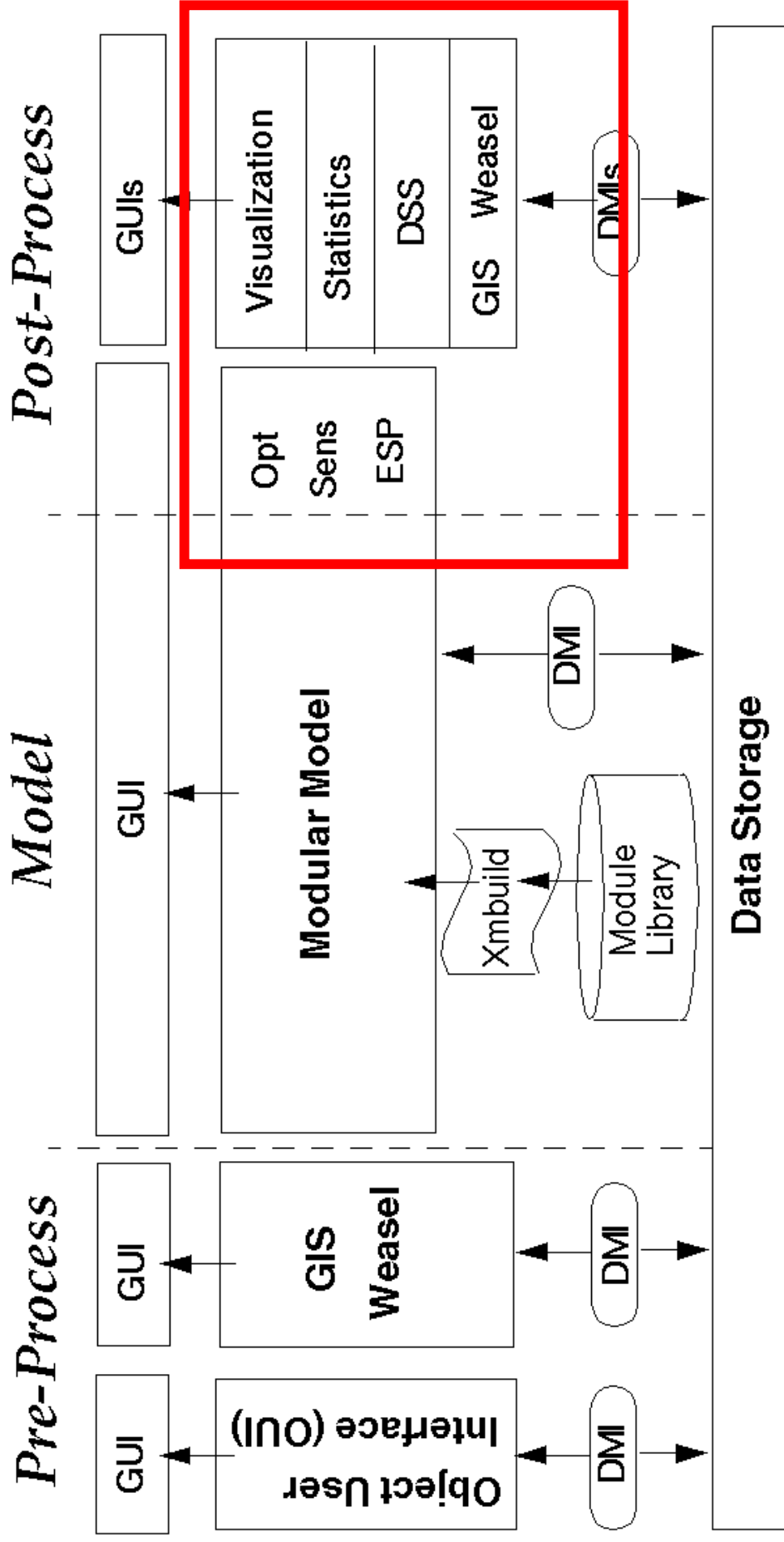
## WARSMP RELATED

-  **IN DEVELOPMENT, MMS PLUS**

# LEVELS OF MODULAR DESIGN

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# The Modular Modeling System (MMS)



# ANALYSIS and SUPPORT TOOLS

## Current

Rosenbrock Optimization

Troutman Sensitivity Analysis

## Being Added

Shuffle Complex Evolution Optimization

Multi-Objective COMplex Evolution Algorithm

GLUE

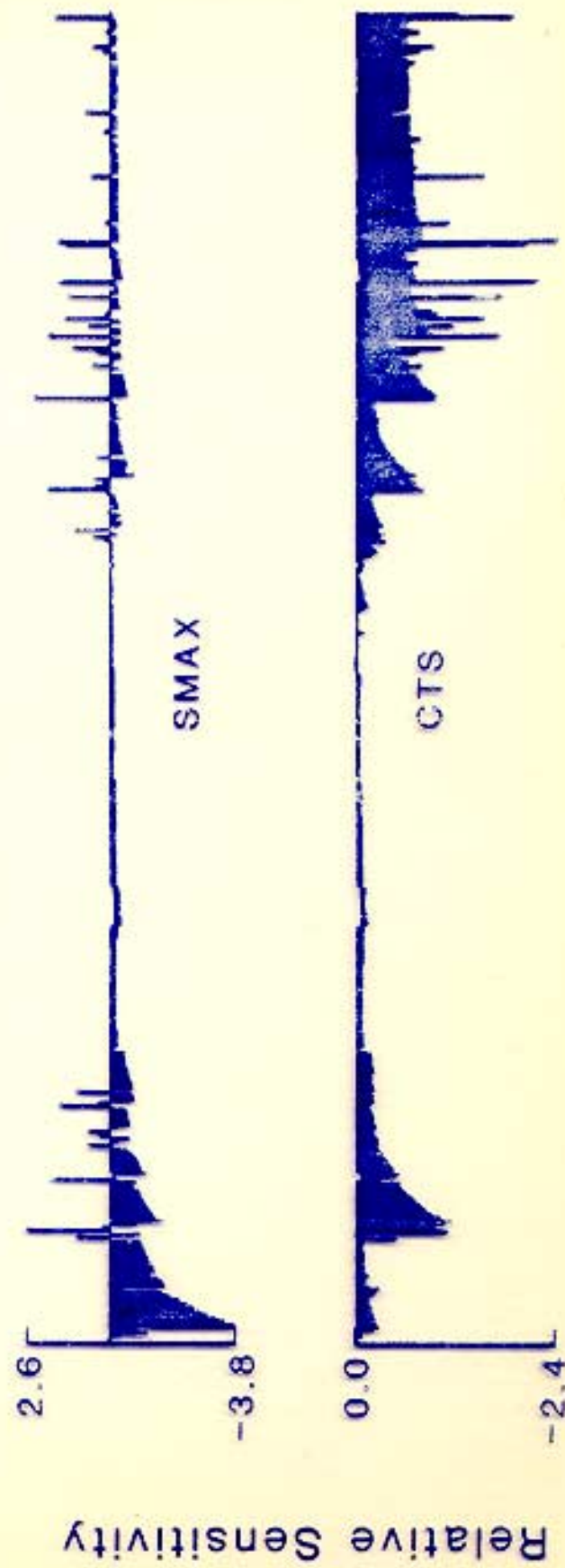
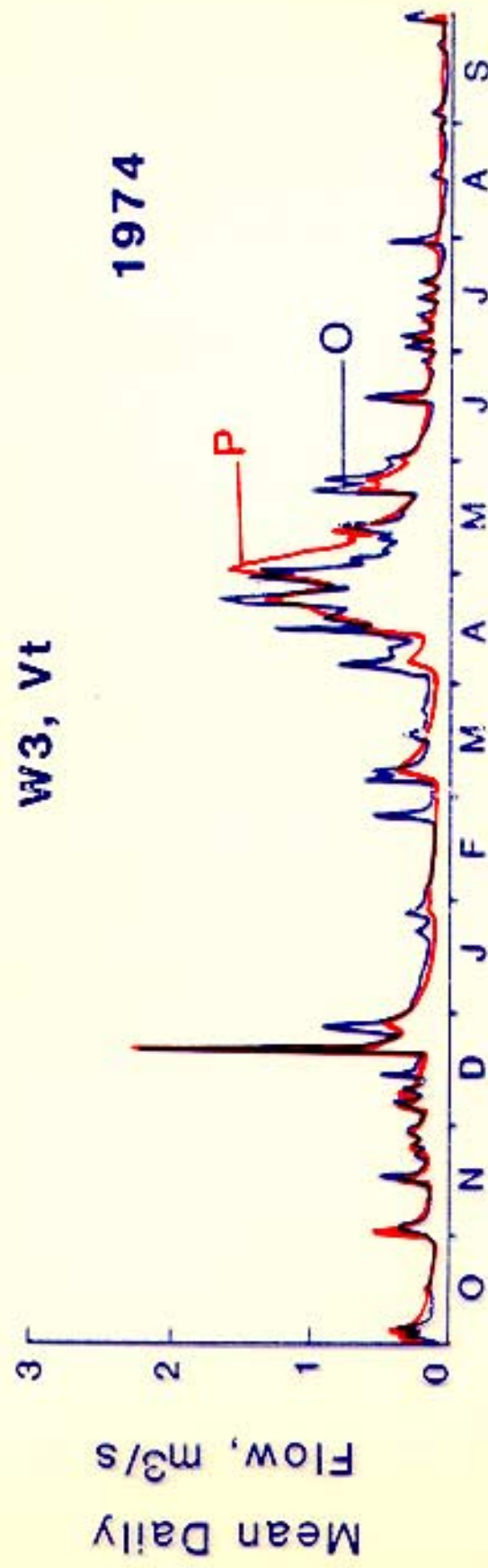
Visualization - VISAD



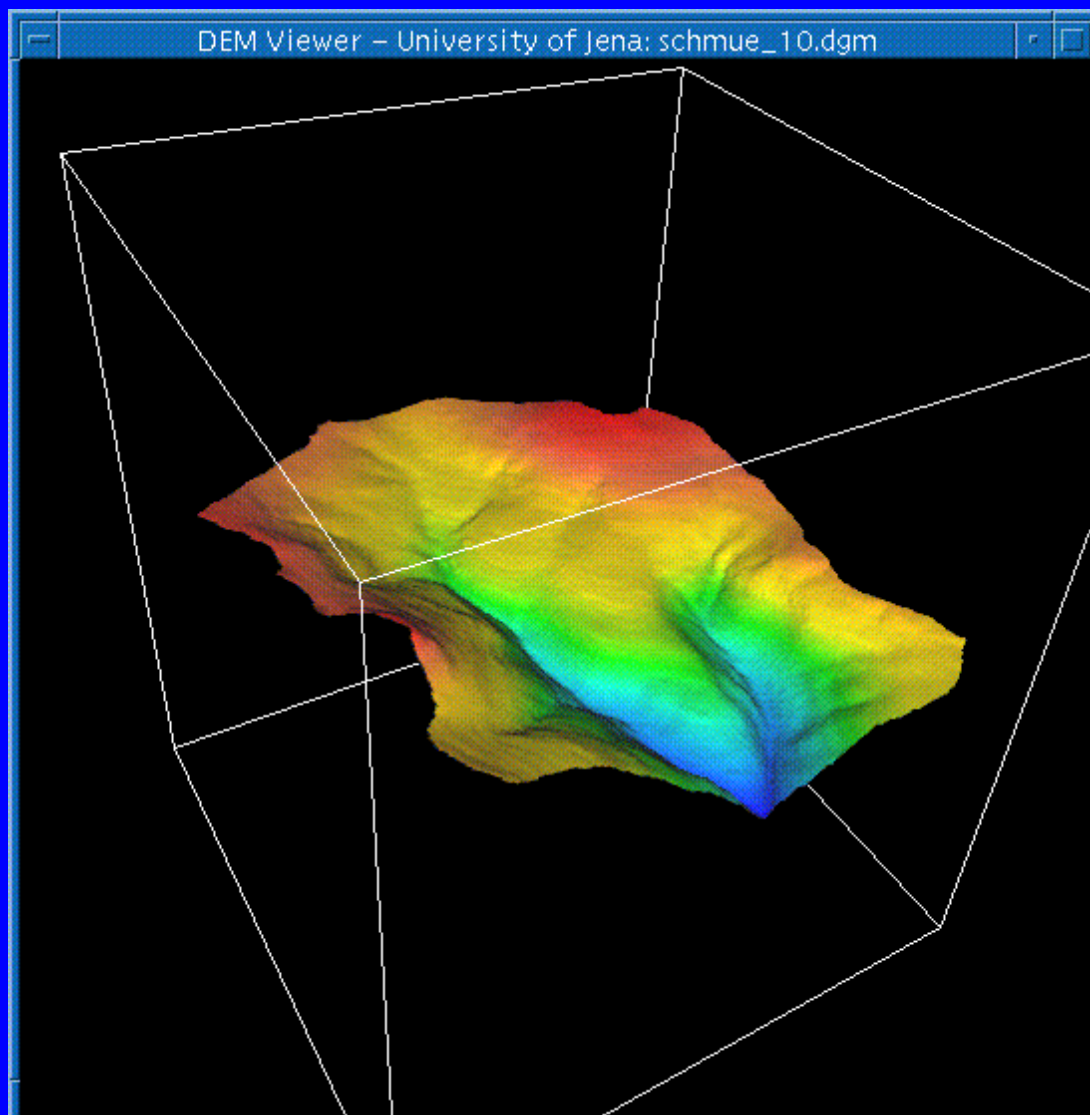
# Sensitivity Analysis

(Troutman, 1983)

- Sensitivity Matrix (relative sensitivity)
- Error Propagation Table
  - (5, 10, 20, 50% change in parameter value)
- Joint & Individual Standard Errors in Parameters
  - (measure of confidence)
- Correlation Matrix
- Hat Matrix
  - (diagonal elements are measure of influence a day is having on optimization, range 0-1)



# VISAD



Controls

Institute of Geography - FSU Jena

DEM Type

☐ Points ☐ Isolines ☒ Surface

Colour to Attribute

☐ Elevation  
☒ Overlay (mu16\_r.dat)

Colour Table

Another Water 16

Vertical Exaggeration

1 5 9

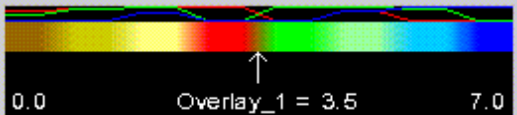
☐ Box

Background

Black

☐ Enable scale ☐ Point mode ☒ Texture mapping

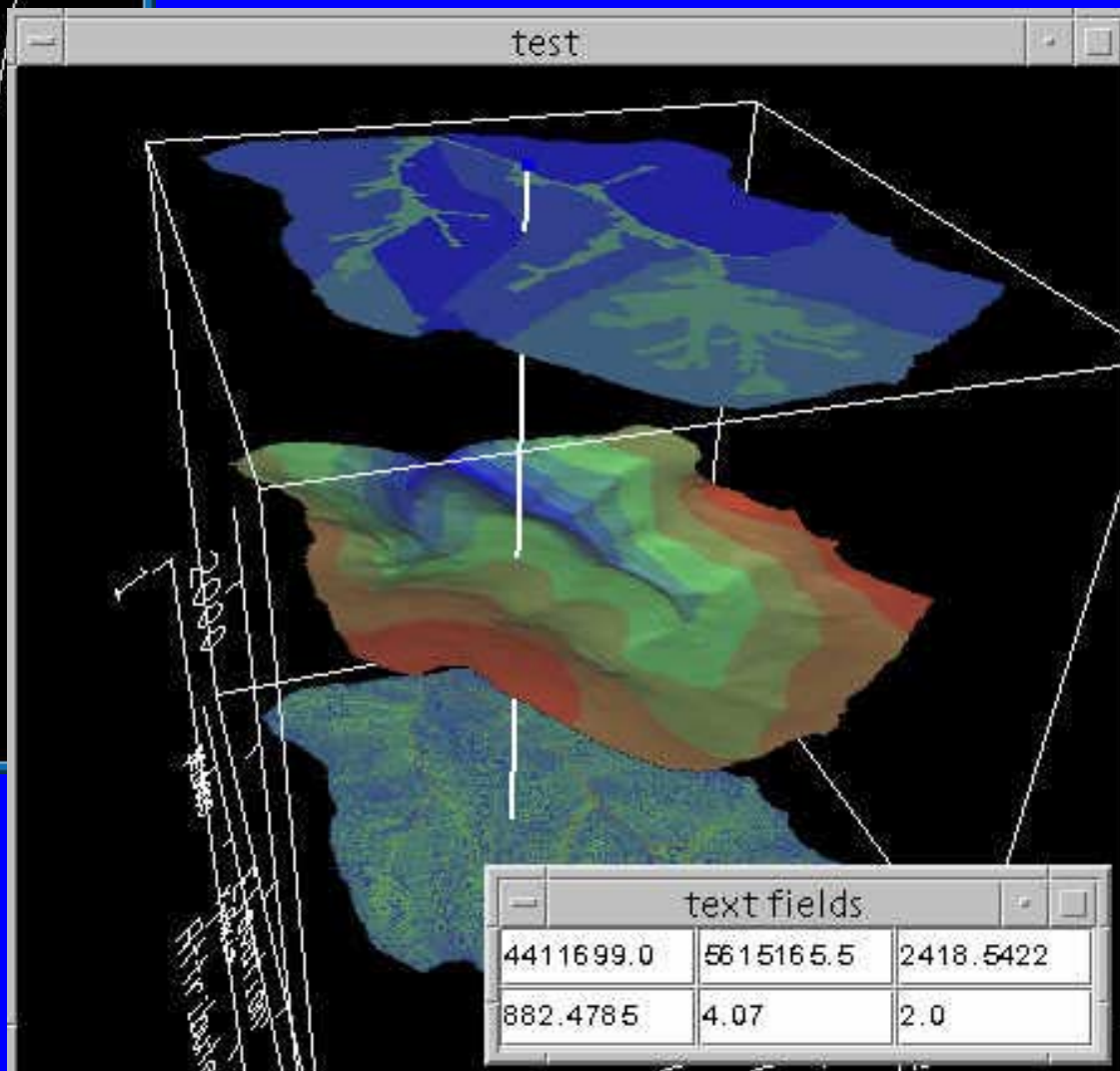
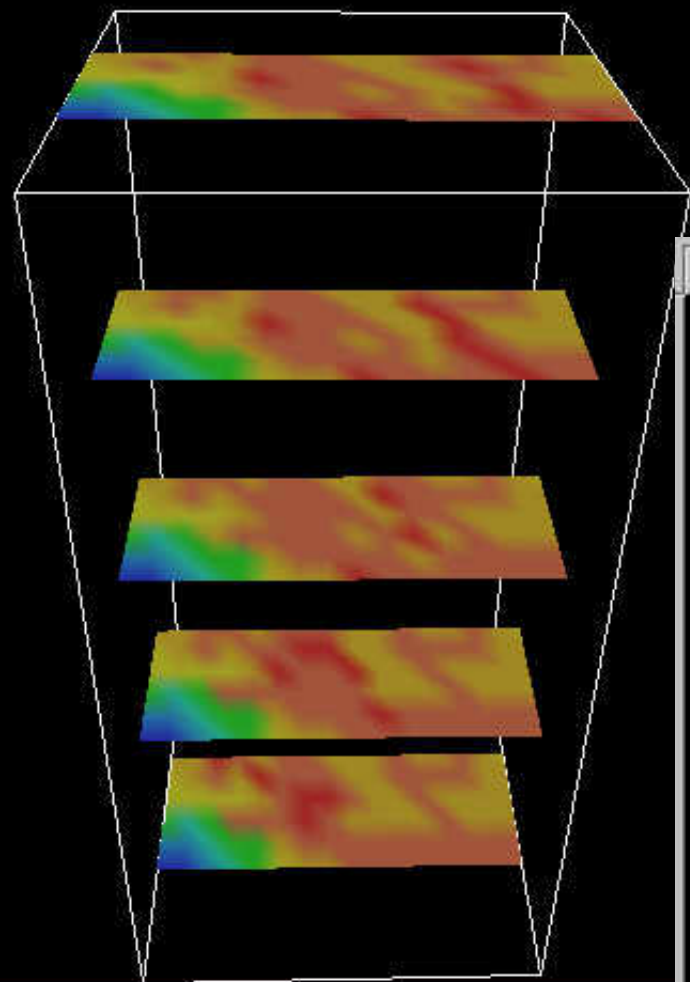
Line width: 1 Point size: 1



0.0 Overlay\_1 = 3.5 7.0

Reset Grey Scale

# VISAD



# **CURRENT FOCUS ISSUES IN MMS DEVELOPMENT AND APPLICATION**

- Objective Parameter Estimation
- Incorporation of Remotely Sensed Data
- Coupling of Atmospheric and Hydrologic Models
- Improved Hydrologic and Ecosystem Process Simulation
- Development of Tools and Techniques to Facilitate the Integration of these Capabilities in Operational Applications



# OBJECTIVE PARAMETER ESTIMATION

Need: To identify most robust models and parameters for uncalibrated applications (e.g. land-use and climate change studies)

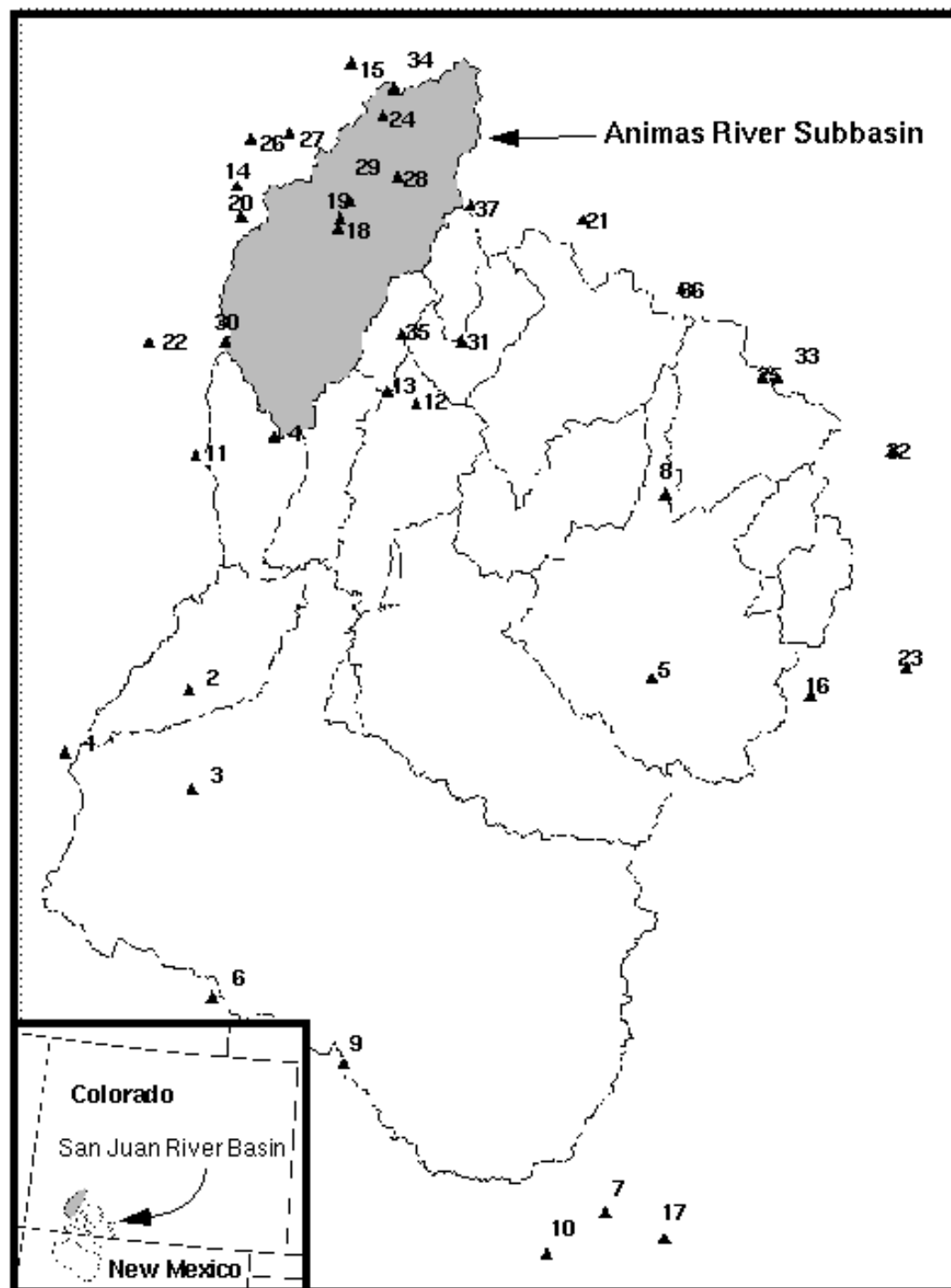
- Parameters for spatial and temporal distribution of meteorological variables
- Process parameters from measurable basin and climate characteristics

# XYZ Spatial Redistribution of Precip and Temperature

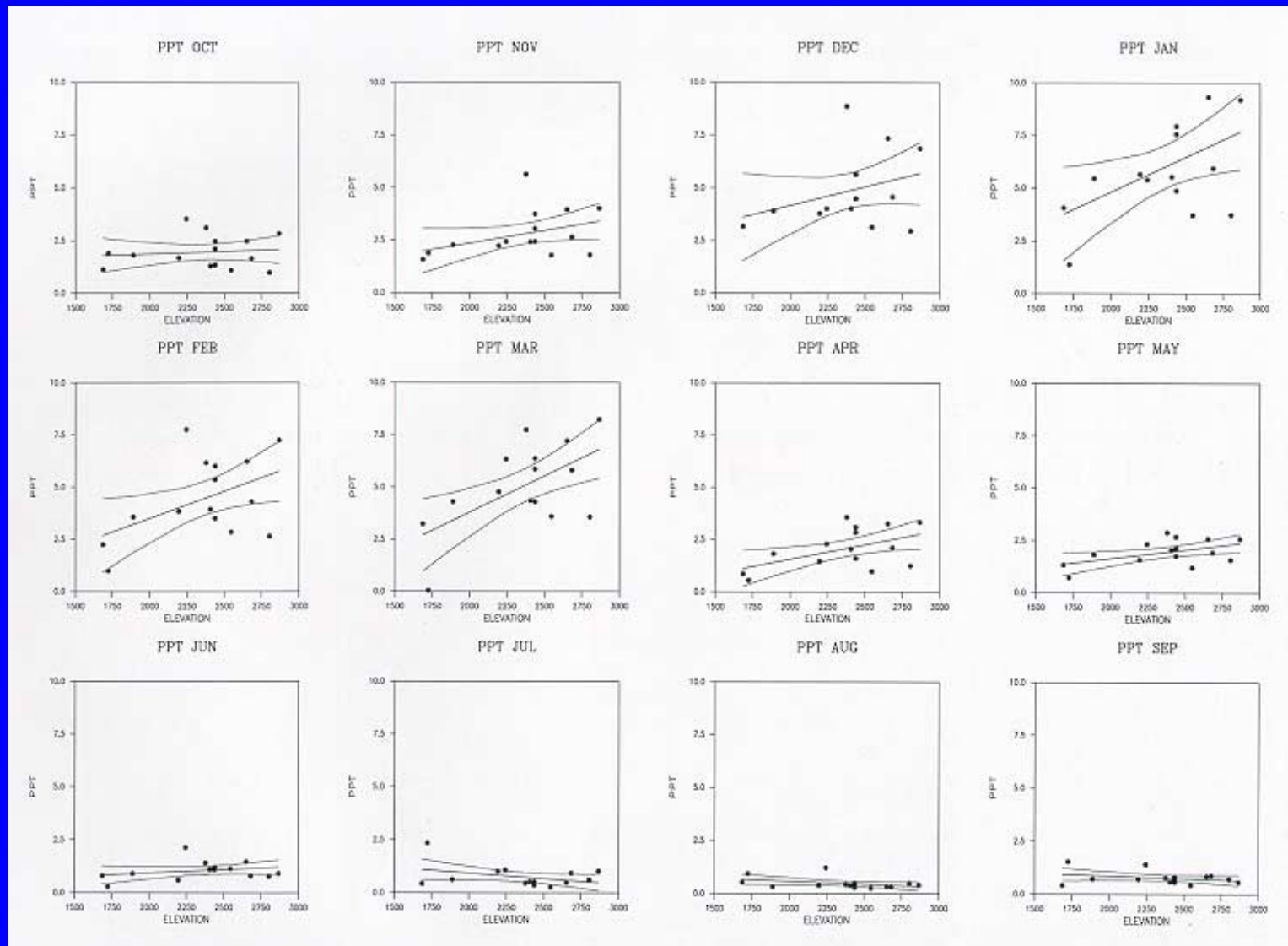
1. Develop Multiple Linear Regression (MLR) equations (in XYZ) for PRCP, TMAX, and TMIN by month using all appropriate regional observation stations.

**San Juan Basin**

**Observation Stations 37**

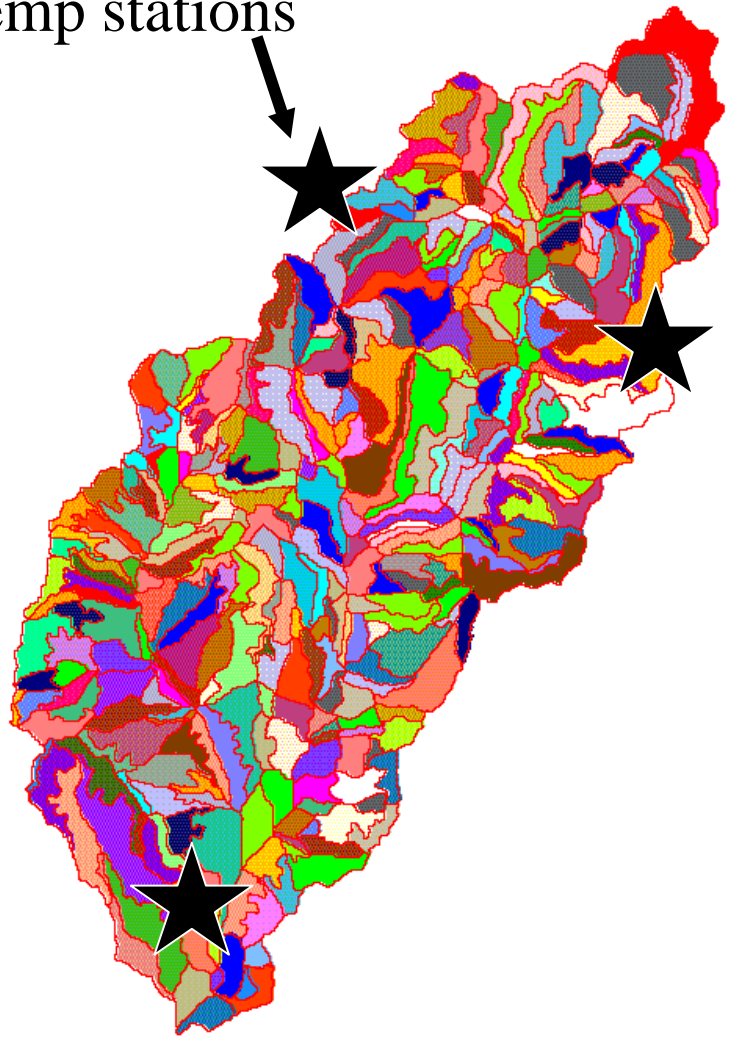


# Precipitation-Elevation Relations





Precip and  
temp stations

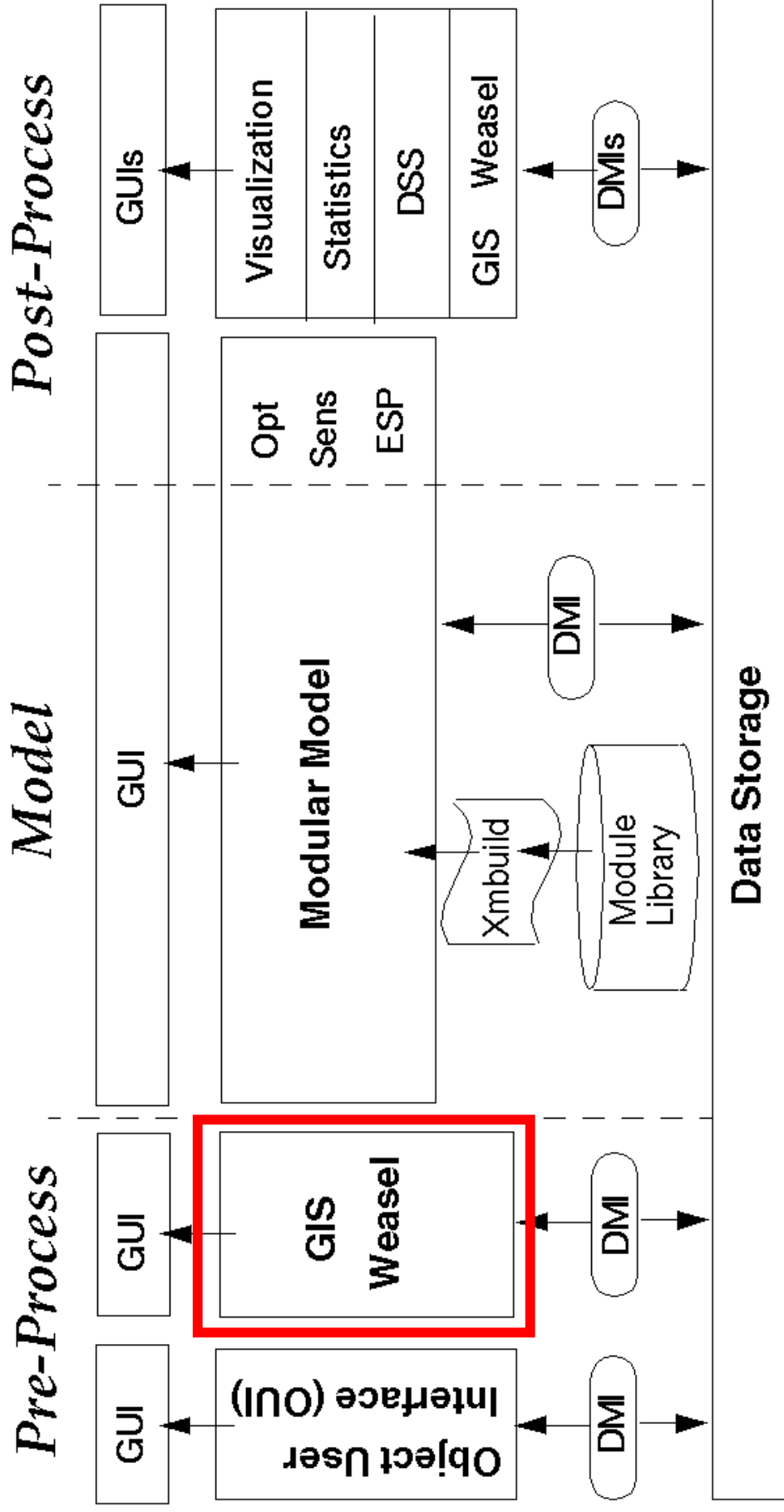


# XYZ Spatial Redistribution

2. Daily mean PRCP, TMAX, and TMIN computed for a subset of stations (3) determined by Monte Carlo analysis to be best stations
3. Daily station means from (2) used with monthly MLR xyz relations to estimate daily PRCP, TMAX, and TMIN on each HRU according to the XYZ of each HRU

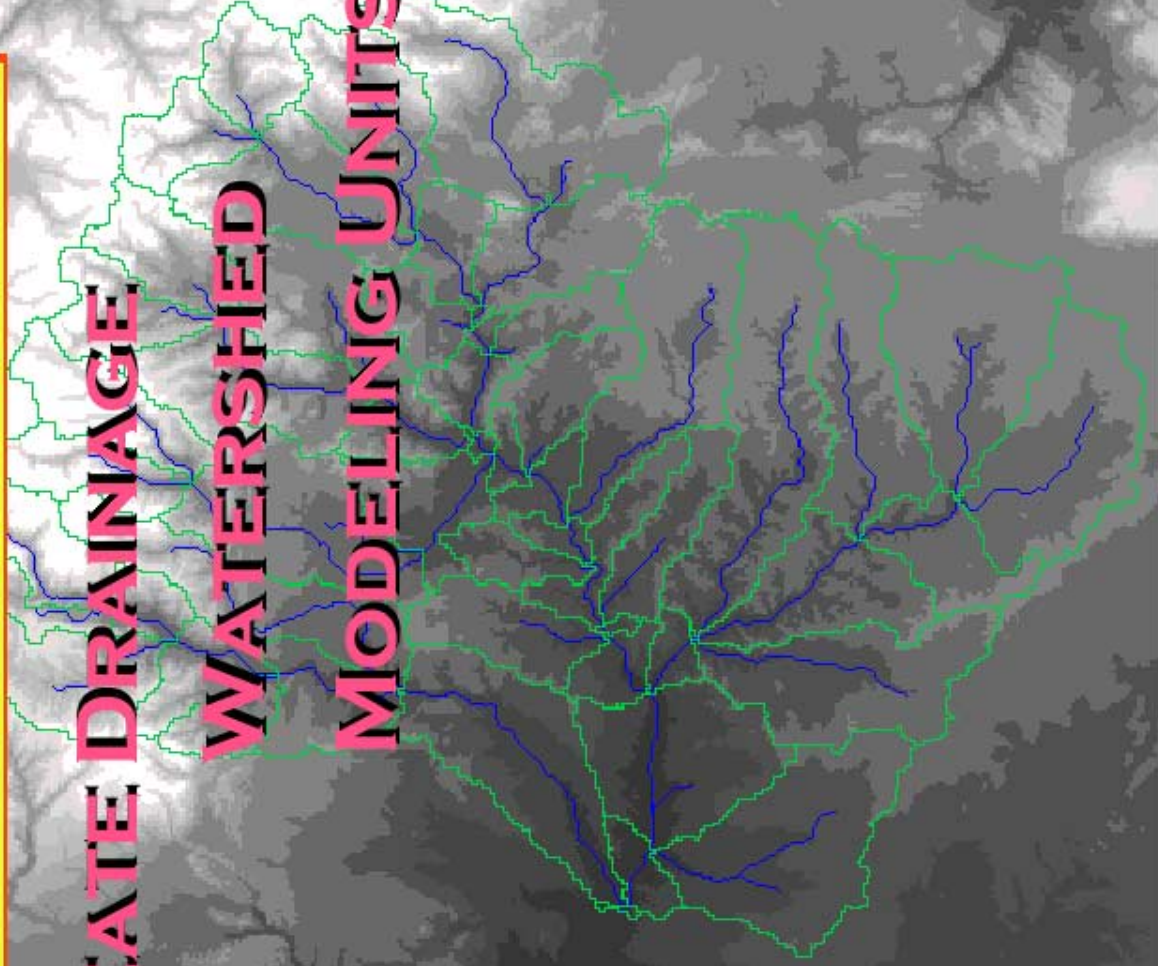
# PROCESS PARAMETER ESTIMATION

# The Modular Modeling System (MMS)



# The GIS Weasel

**DELINEATE DRAINAGE  
WATERSHED  
MODELING UNITS**



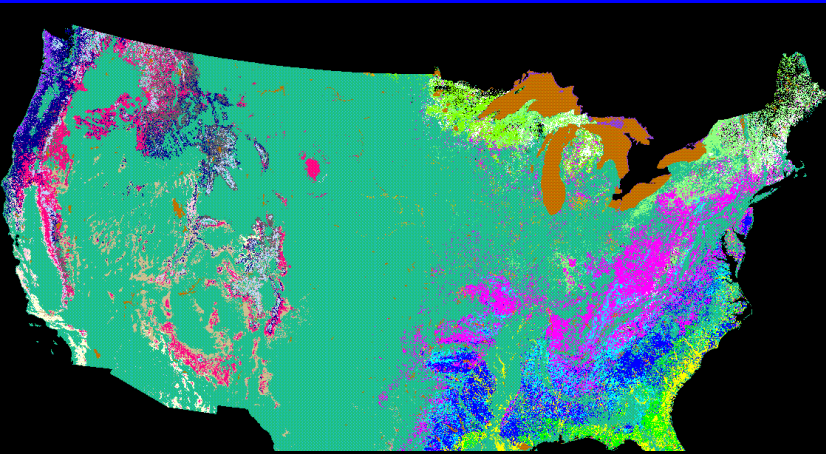
# MODULAR MODELING SYSTEM (MMS)



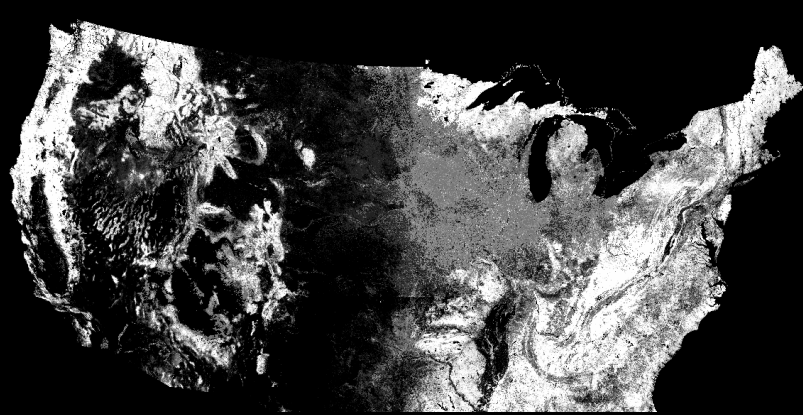
# DIGITAL DATABASES

(1 km<sup>2</sup> resolution)

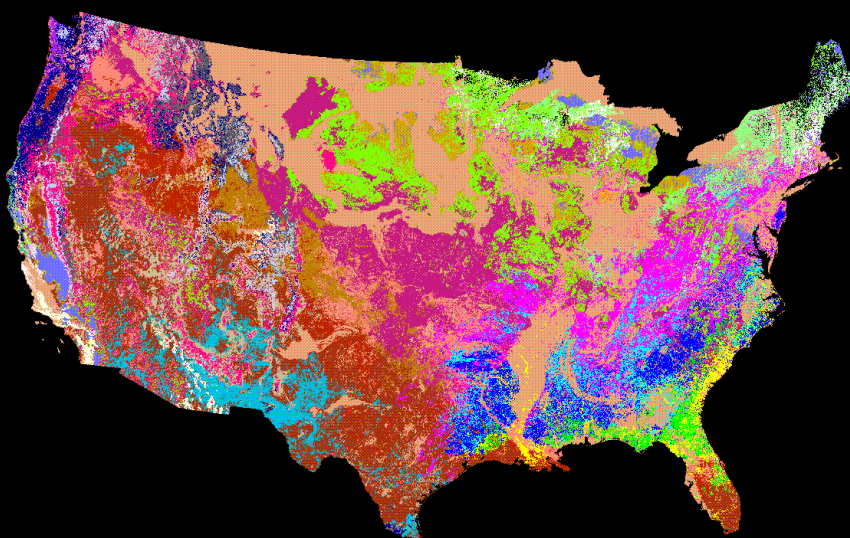
Vegetation Type (USFS)



Vegetation Density (USFS)



Land Use-Land  
Cover (USGS)



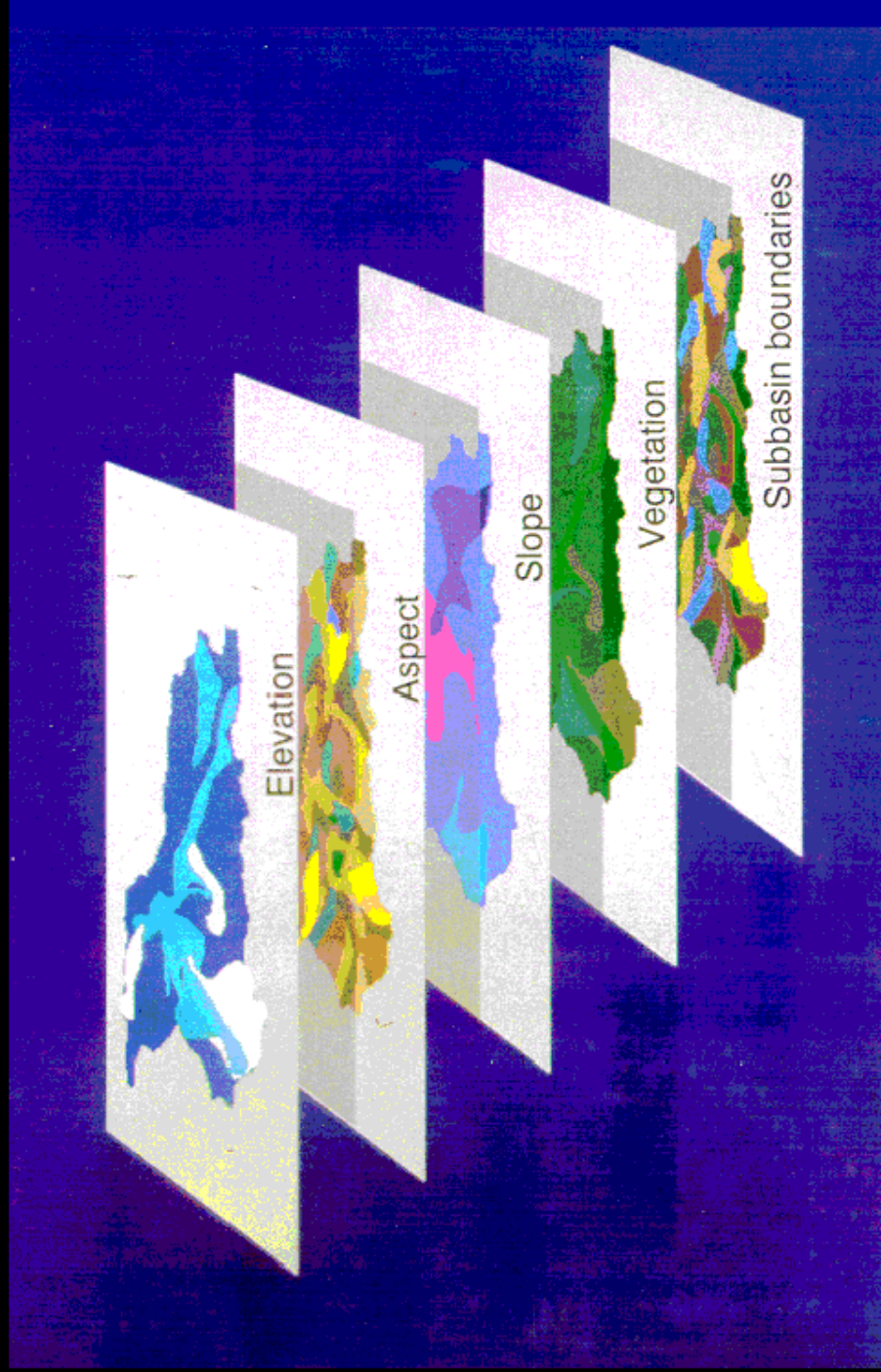


# STATSGO - Soils Data, 1 km<sup>2</sup> (USDA)





# The GIS Weasel





x, y: 1.19341, 9.04282  
dx, dy: -6.87746, 5.78248



Prepared Models

Model:

Load  
PRMS  
PRMS-xyz  
TOPMODEL

Dimension/Parameter Lists

Dimension:

basin  
hru  
adpl  
adpl-ran  
tr  
sr  
c  
stream  
han

Method:

param\_10-85slope  
param\_area  
param\_area-acres  
param\_area-miles  
param\_area-pct  
param\_cov-den\_summer  
param\_cov-den\_summer-re  
param\_cov-den\_winter  
param\_cov-den\_winter-re  
param\_cov-type

Dimension

Parameter Name

Method

Interactive

On/Off

Parameter Settings

basin_area	basin	param_area-acres.aml	<input type="checkbox"/>
instream	hru	param_poly2stream.aml	<input type="checkbox"/>
hru_radpl	hru	param_radpl.aml	<input type="checkbox"/>
radpl_aspect	radpl	param_radpl-aspect.aml	<input type="checkbox"/>
radpl_slope	radpl	param_radpl-slope.aml	<input type="checkbox"/>
radpl_lat	radpl	param_radpl-lat.aml	<input type="checkbox"/>
hru_area	hru	param_area-acres.aml	<input type="checkbox"/>
hru_elev	hru	param_elev-med-ft.aml	<input type="checkbox"/>
hru_slope	hru	param_slp.aml	<input type="checkbox"/>
soil_moist_max	hru	param_soil-moist.aml	<input type="checkbox"/>
soil_texture	hru	param_soil-texture.aml	<input type="checkbox"/>
soil_rechr_max	hru	param_soil-rechr.aml	<input type="checkbox"/>
covden_sum	hru	param_cov-den_summer.aml	<input type="checkbox"/>
covden_win	hru	param_cov-den_winter.aml	<input type="checkbox"/>
rad_trncf	hru	param_rcov-trans.aml	<input type="checkbox"/>
cov_type	hru	param_cov-type-prms.aml	<input type="checkbox"/>
srain_intcp	hru	param_intcp-srain.aml	<input type="checkbox"/>
basin_intcp	hru	param_intcp-basin.aml	<input type="checkbox"/>
hru_percent_imperv	hru	param_imperv.aml	<input type="checkbox"/>
jh_coef_hru	hru	param_jh-coef.aml	<input type="checkbox"/>
hru_psta	hru	param_poly2point.aml	<input type="checkbox"/>
hru_tsta	hru	param_poly2point.aml	<input type="checkbox"/>
...	basin	...	<input type="checkbox"/>

Parameter Settings

Parameterization Control Panel

Dimensions Clear Save Update

Output:

ASCII tables:

Shapefiles:

Overlay of BASIN and ANCILLARY layers:

Verify Consistency of Projections:

MMS Parameter File:

Default: /z/runoff/home1/weasel/src\_c/mms.par

Data Bin: /z/runoff/home1/weasel/data\_bin

Output:

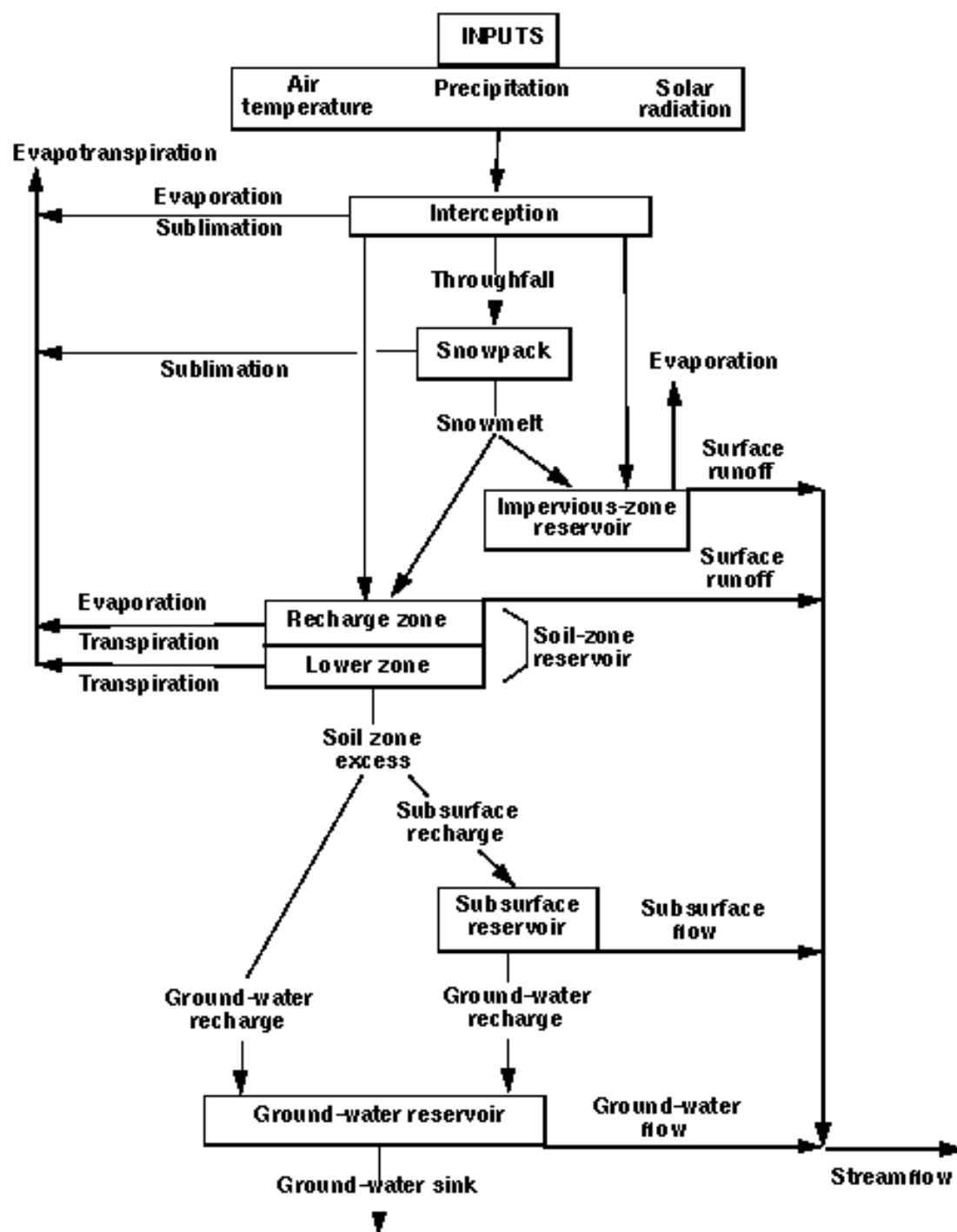
basin.mms

CTTY

APPLY

CLOSE

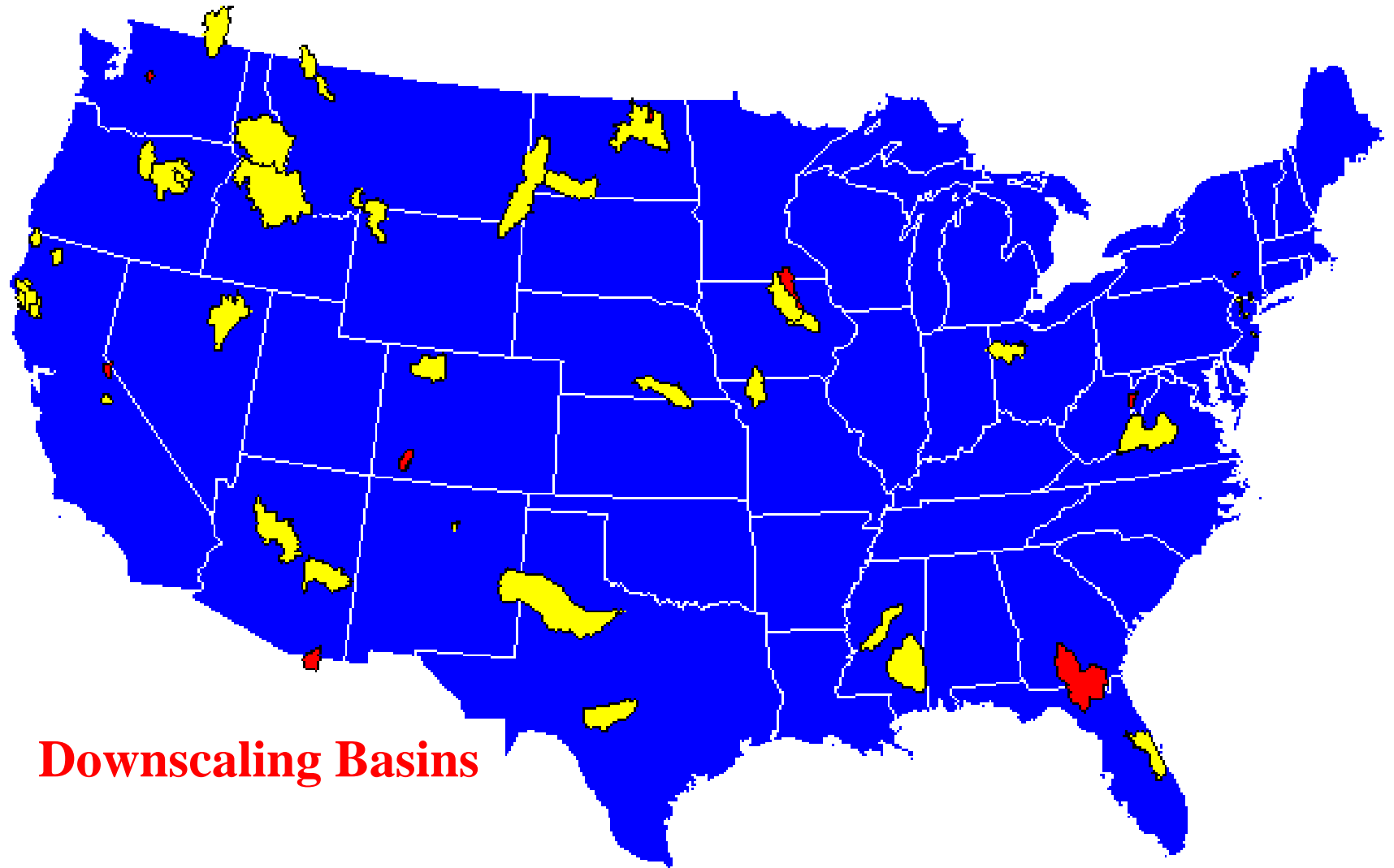
# USGS Precipitation Runoff Modeling System (PRMS)



# PRMS Parameters Estimated

- 9 topographic (slope, aspect, area, x,y,z, ...)
- 3 soils (texture, water holding capacity)
- 8 vegetation (type, density, seasonal interception, radiation transmission)
- 2 evapotranspiration
- 5 indices to spatial relations among HRUs, gw and subsurface reservoirs, channel reaches, and point measurement stations

# MODEL PARAMETER ESTIMATION BASINS



# **BUREAU of LAND MANAGEMENT**

Tools for the Assessment of Environmental  
Impacts of Alternative Forest-Management  
Strategies

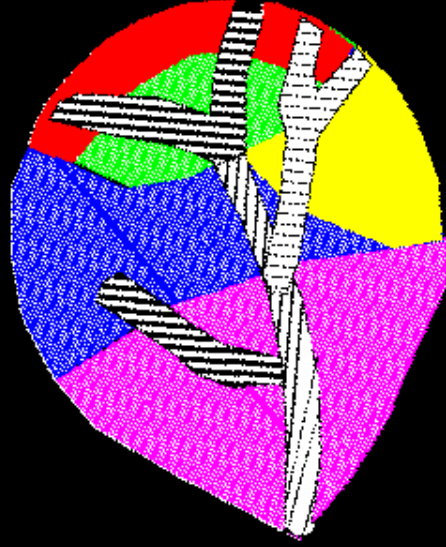
Oregon Coast Range

Initial system based on the findings of John  
Risley, Oregon District, WRI 93-4181

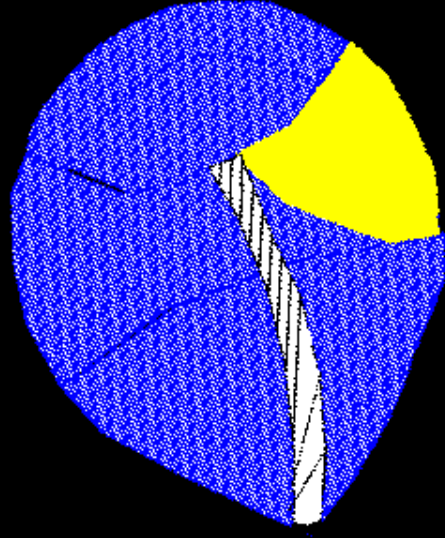


# ECOSYSTEM MANAGEMENT SCENARIOS

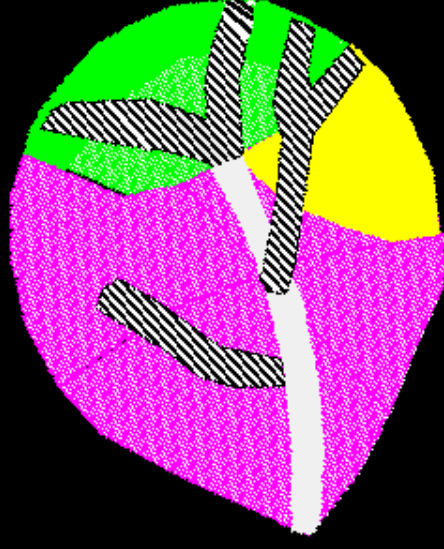
INITIAL PATTERN FROM  
WATERSHED ANALYSIS



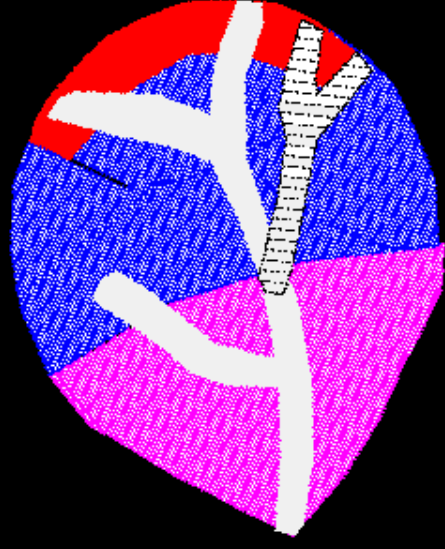
MAXIMUM  
TIMBER  
PRODUCTION



MAXIMUM  
HABITAT  
PROTECTION



NATURAL  
DISTURBANCE  
PATTERNS



# HRU Parameter Assignment using the GIS Weasel

GRID

x,y: 7.28346,5.08120  
dx,dy: -1.25492,0.08612  
dist: 1.25787

Set Pre-Calibrated Parameter Values

This menu allows you to assign each HRU to categories established by "Use of A Precipitation-Runoff Model For Simulating Effects of Forest Management on Streamflow in 11 Small Drainage Basins, Oregon Coast Range", USGS Water-Resources Investigations Report 93-4181, by John Risley.

Risley's calibrated values for many parameters be associated with HRUs based on the your as each HRU to a category,

Cover Type:

☐ CC ☐ PGY ☐ PGO ☐ C ☐ HC ☐ CH ☐ G

CC = Clear cut (completely harvested w/in 2 years)  
PGY = Partial Growth, Young (clearcut 2-15 years ago)  
PGO = Partial Growth, Old (clearcut 15-30 years ago)  
C = Conifer (clearcut more than 30 years ago)  
HC = Hardwood-Conifer (clearcut more than 30 years ago)  
CH = Conifer-Hardwood (clearcut more than 30 years ago)  
G = Grass

Soil Type:

☐ Clay ☐ Sand ☐ Loam

Soil Depth:

☐ Shallow ☐ Moderate ☐ Deep

Shallow = 1-20 inches  
Moderate = 20-40 inches  
Moderate = 40+ inches

Press the Apply button to assign HRU cover type according to the settings currently specified on this menu.

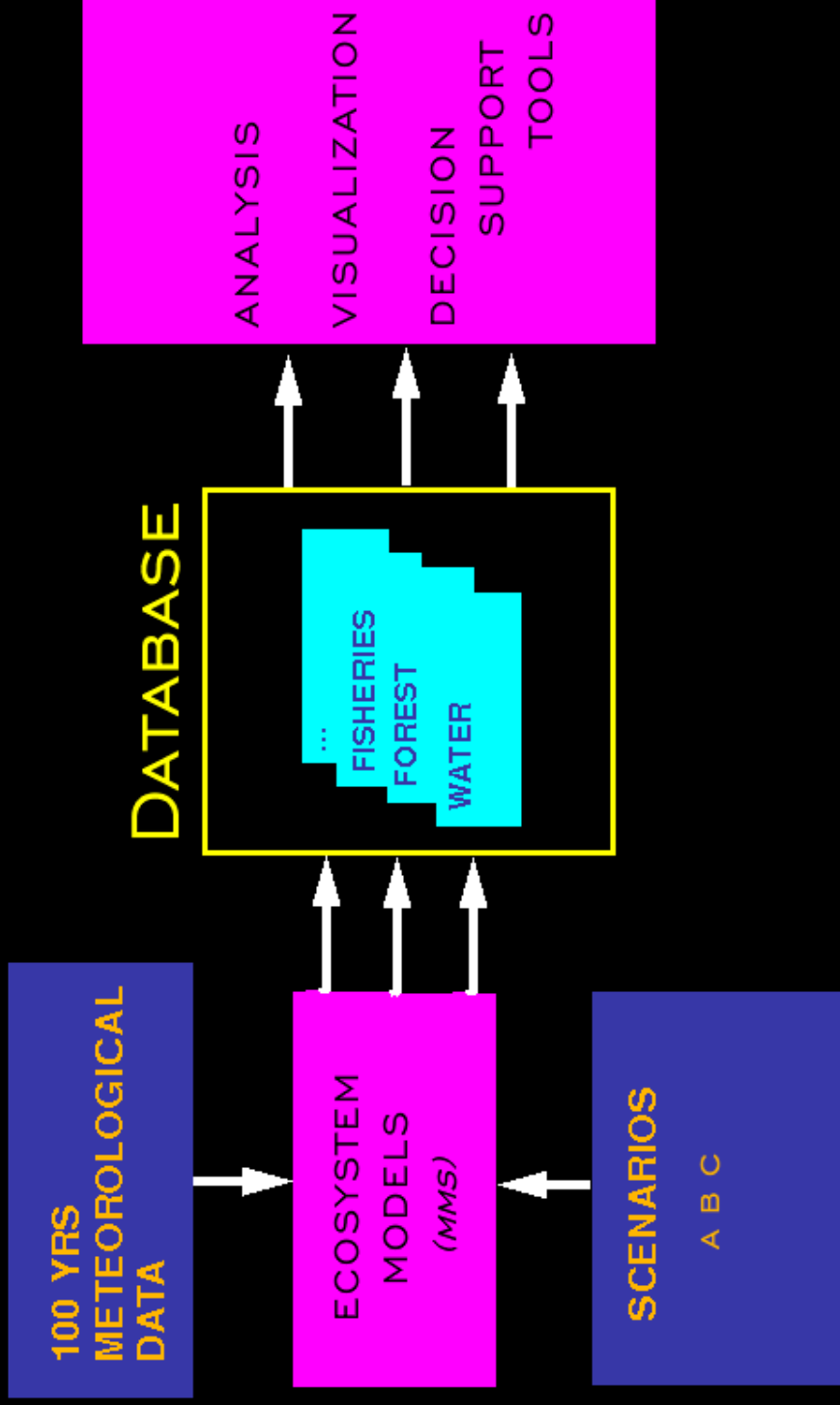
Use the left mouse button to select an HRU.  
Use a non-left mouse button (over the map) to return control to this menu.

cover type

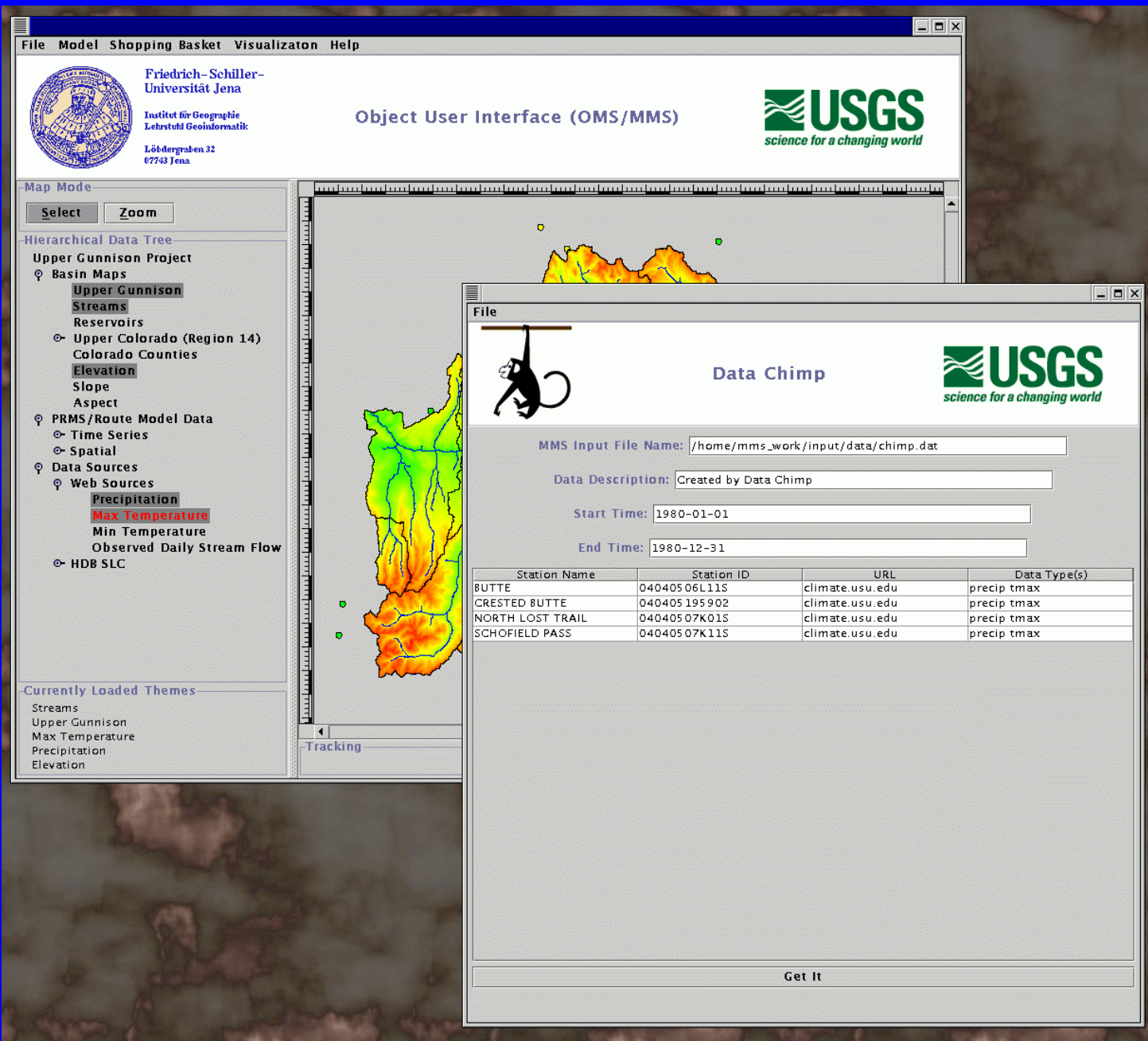
soil type

soil depth

# SCENARIO ANALYSIS AND DECISION SUPPORT



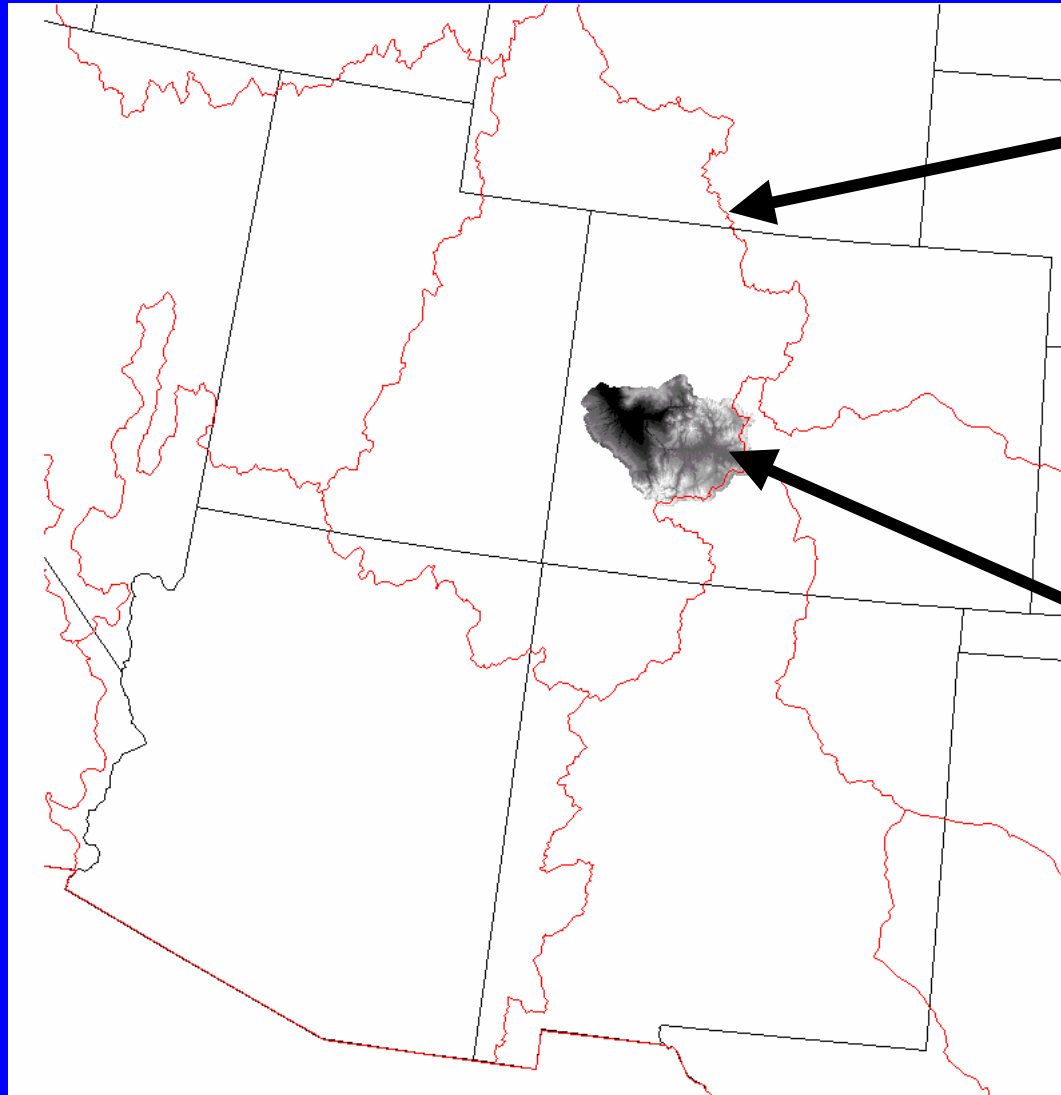




# DATA CHIMP

Time-series  
Data Retrieval  
Precipitation,  
Temperature,  
Streamflow, ...

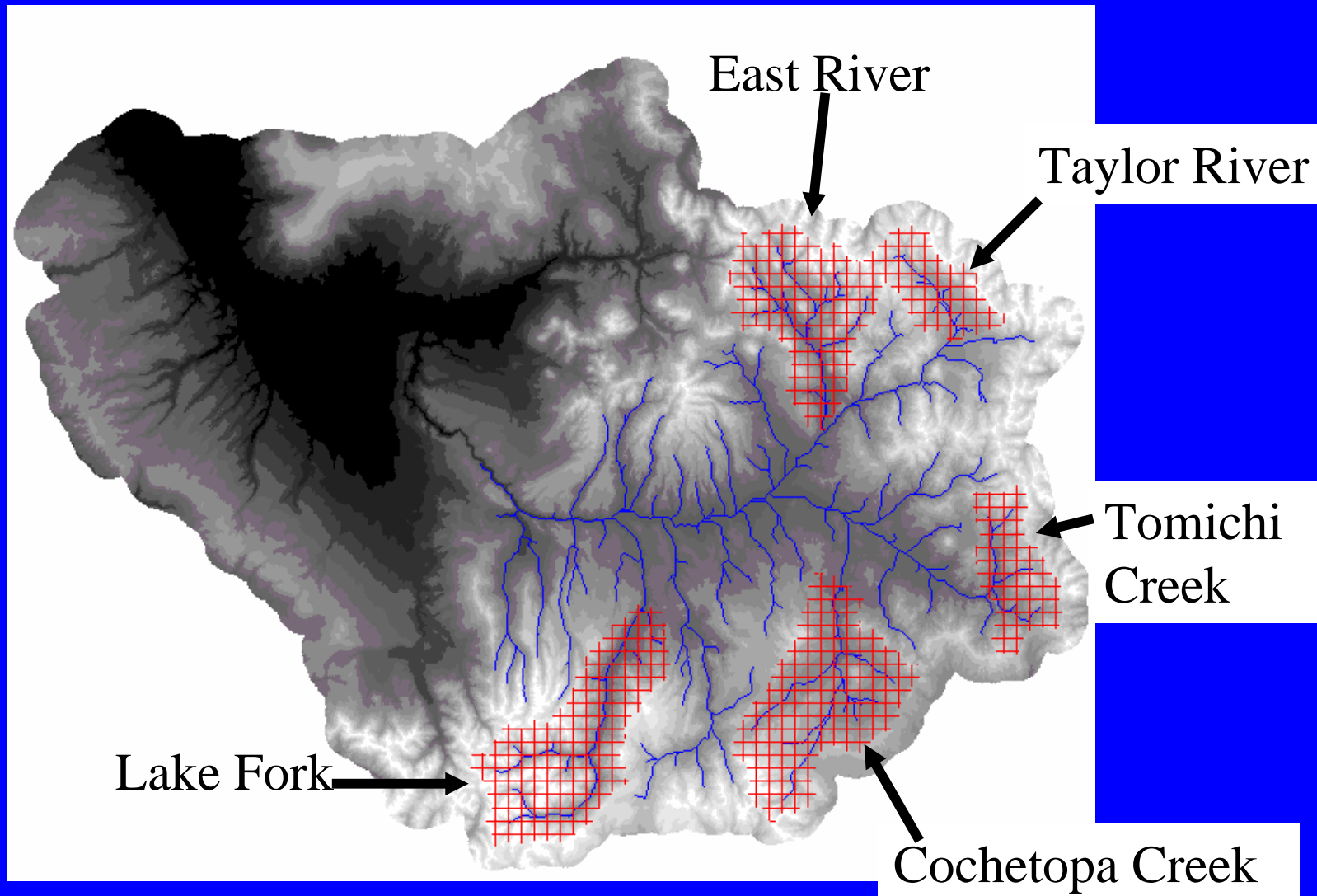
# GUNNISON RIVER BASIN LOCATION

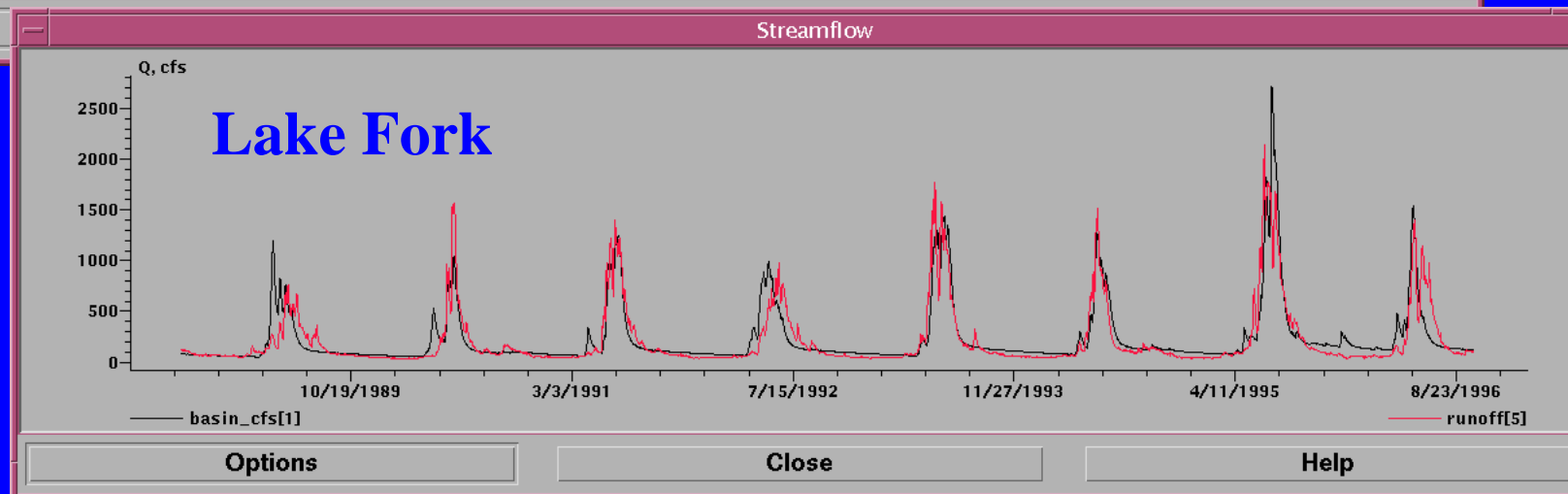
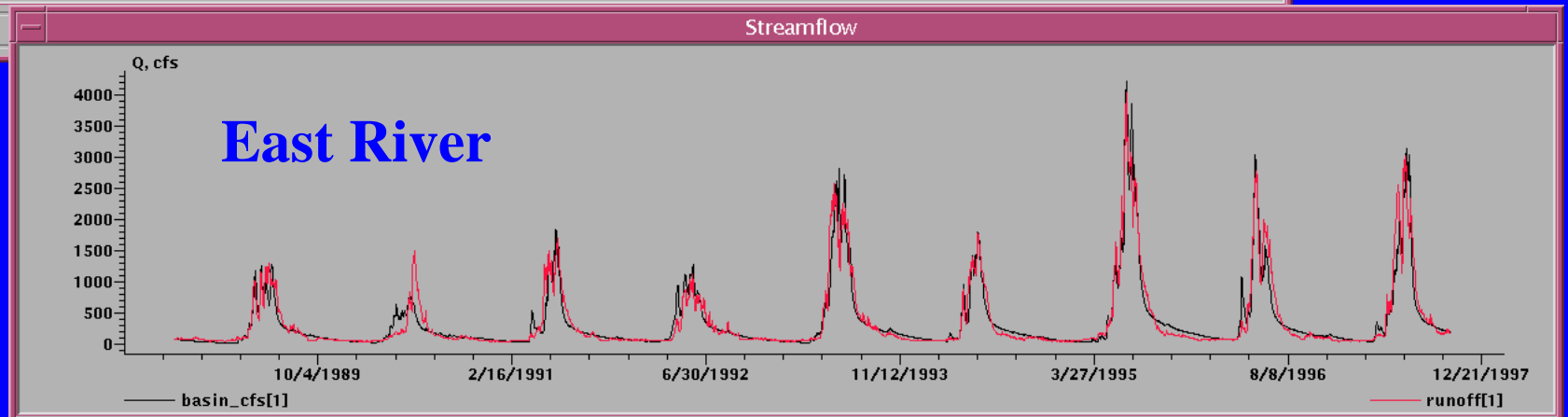
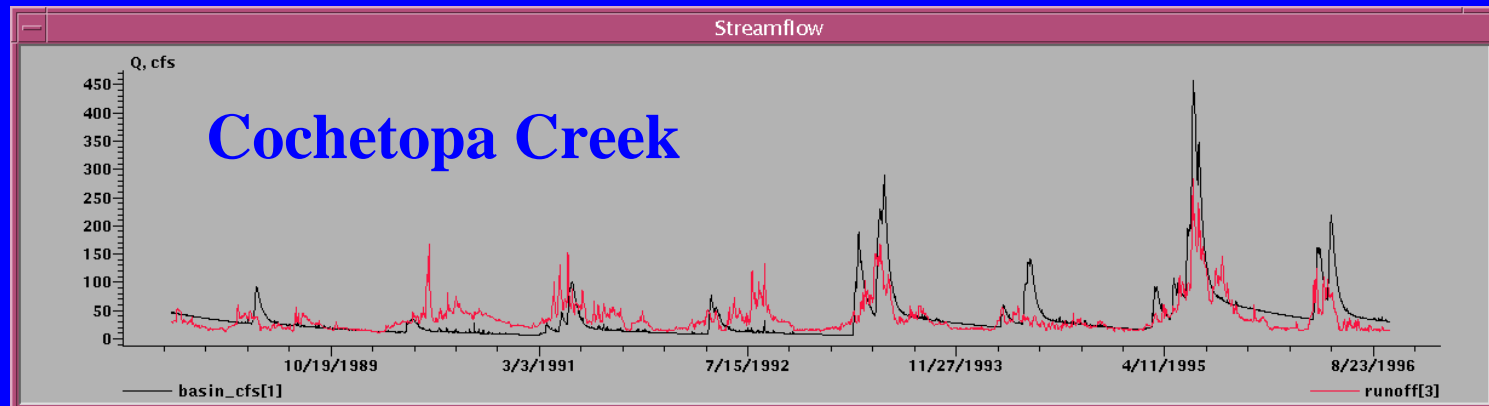


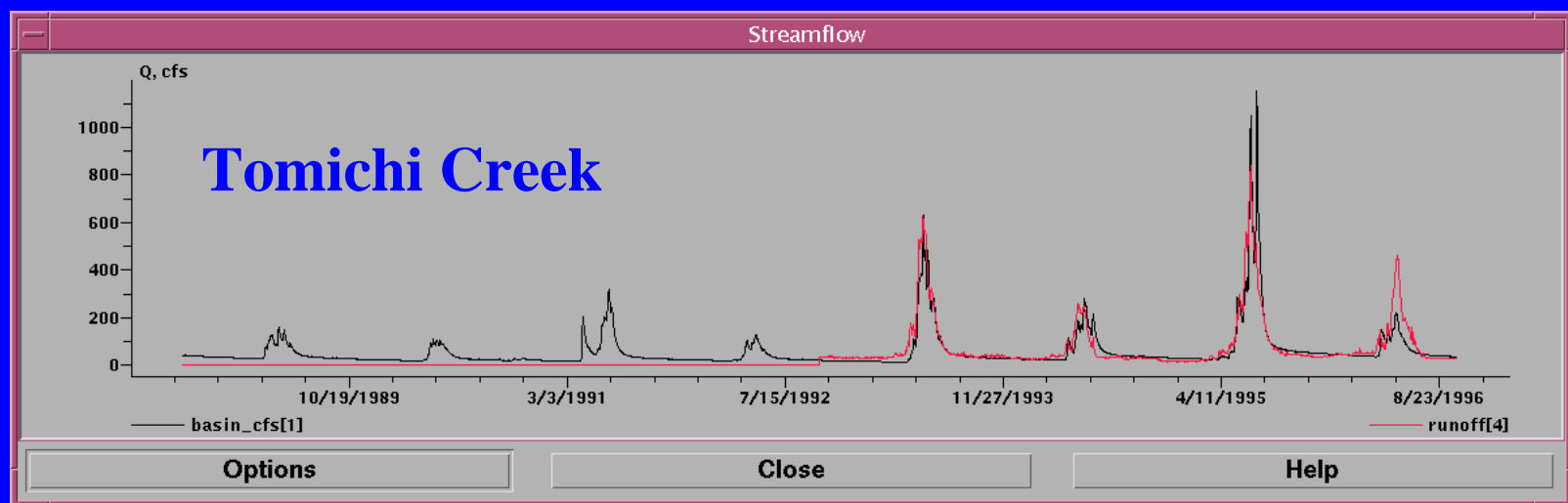
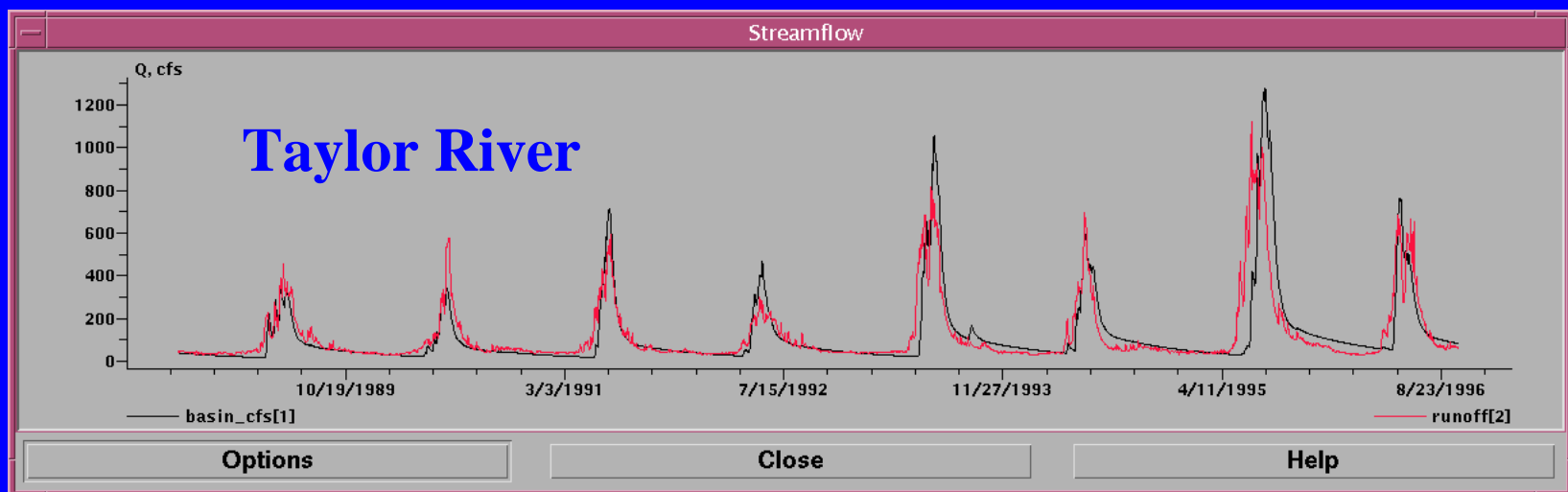
**Upper Colorado  
River Basin**

**Gunnison  
River Basin**

# SUBBASINS WITH CONCURRENT STREAMFLOW AND SATELLITE DATA

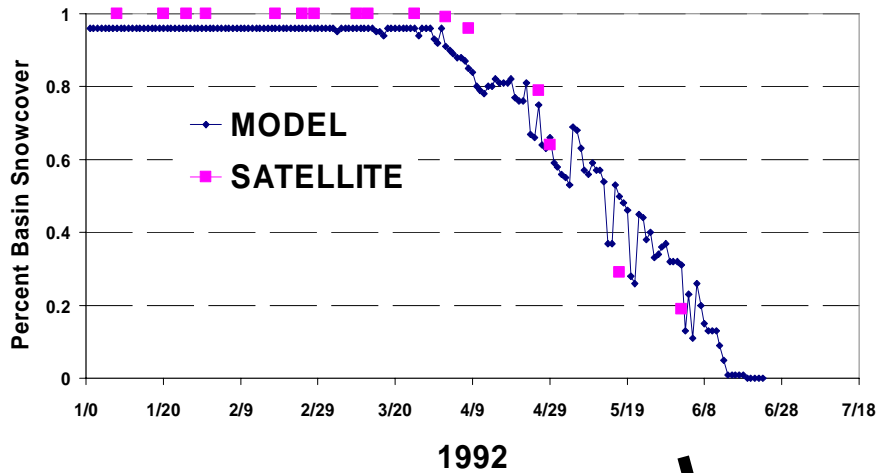




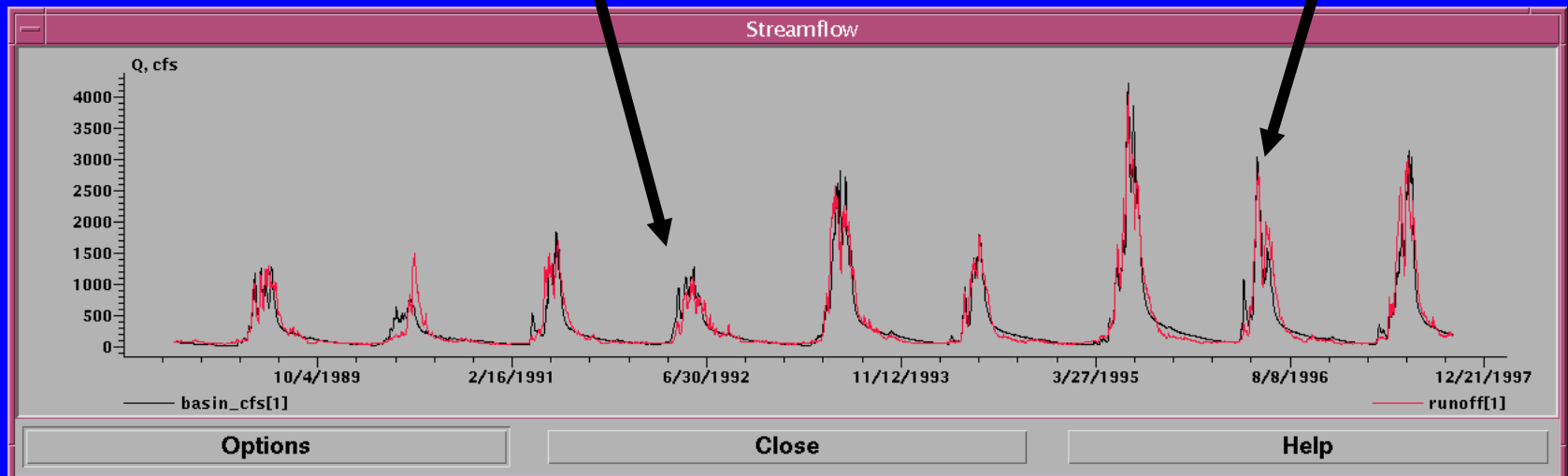
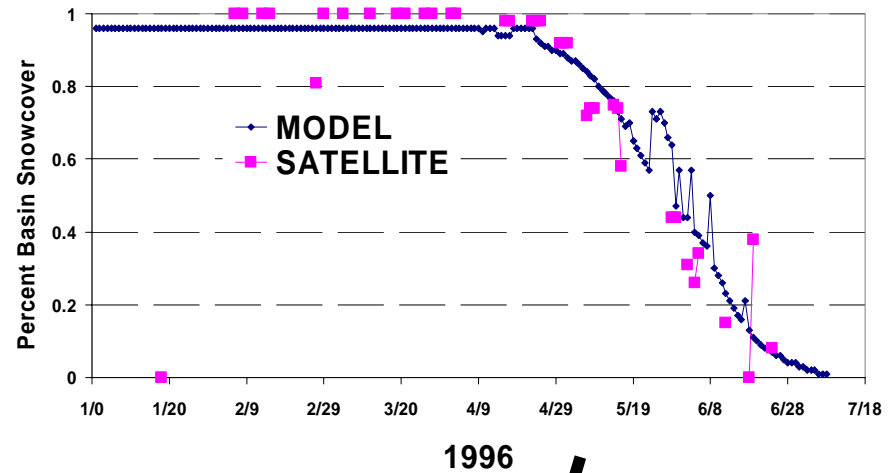


# Percent Basin in Snow Cover

## East River

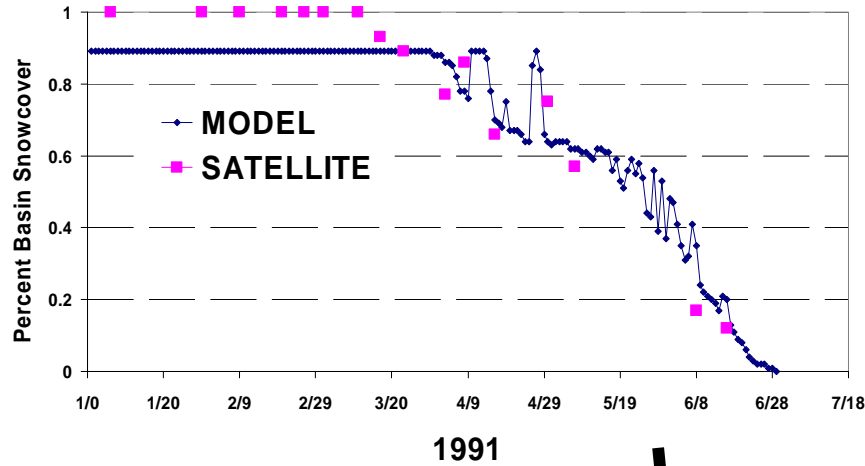


## East River

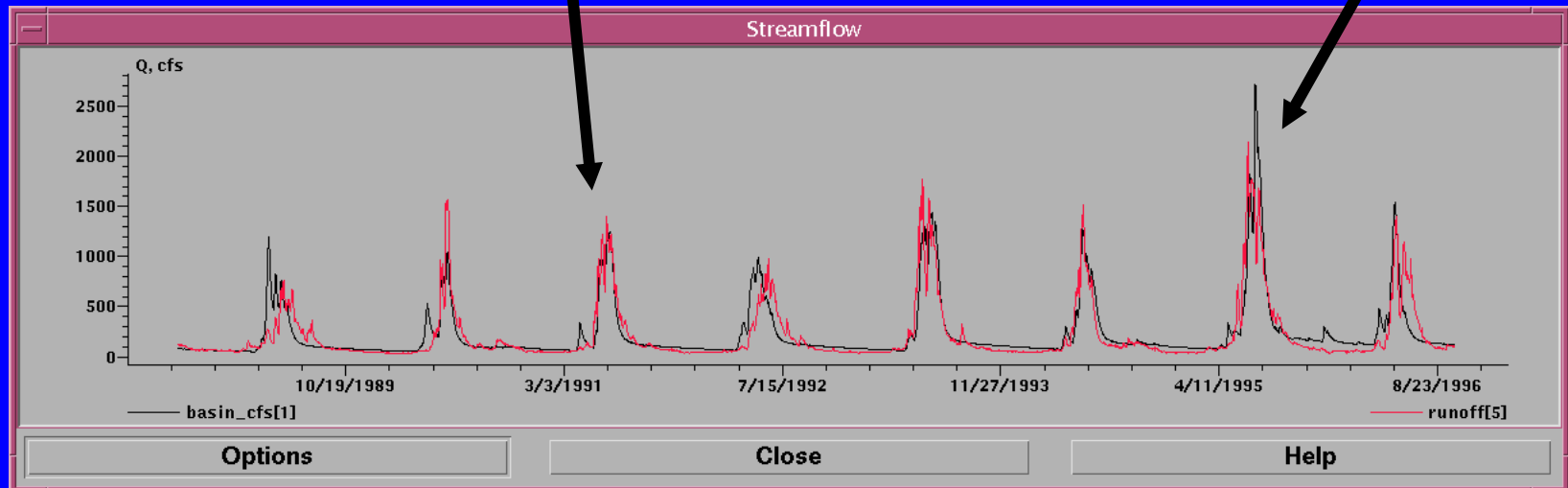
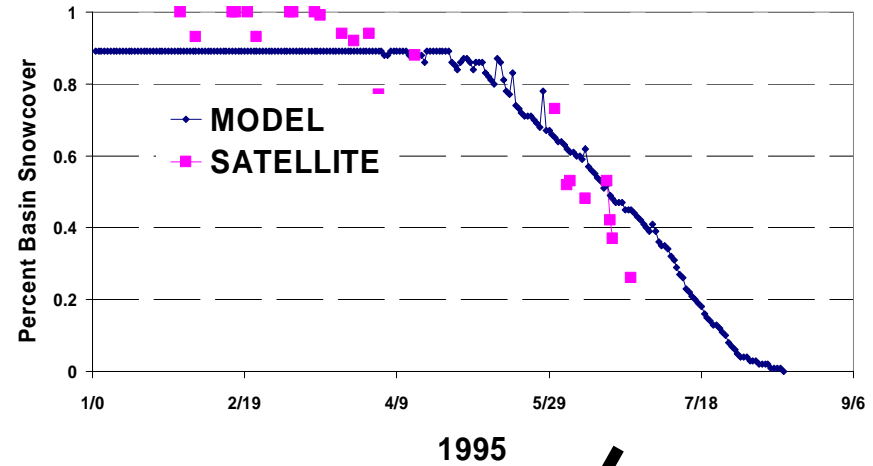


# Percent Basin in Snow Cover

## Lake Fork



## Lake Fork



# Forecast Methodologies in MMS

Ensemble Streamflow Prediction (ESP)

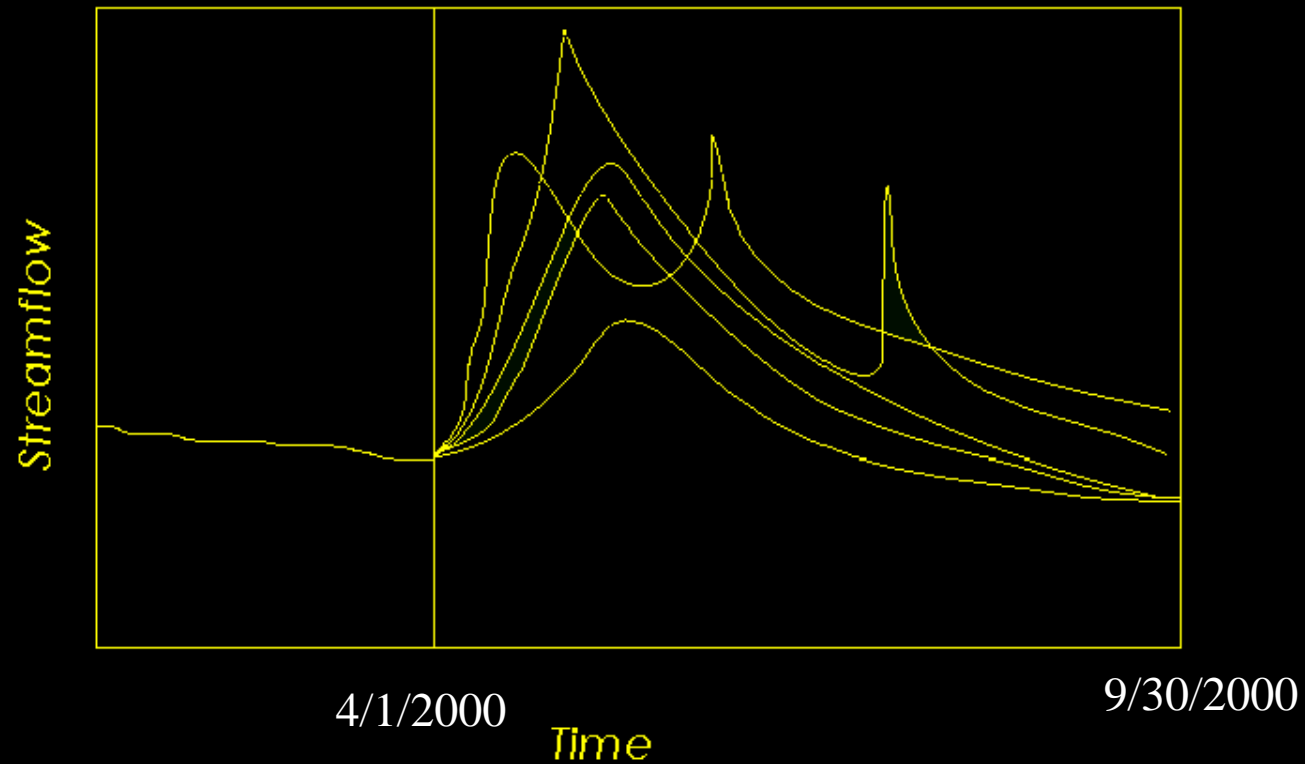
Atmospheric Model Outputs

Statistical Downscaling

Dynamical Downscaling

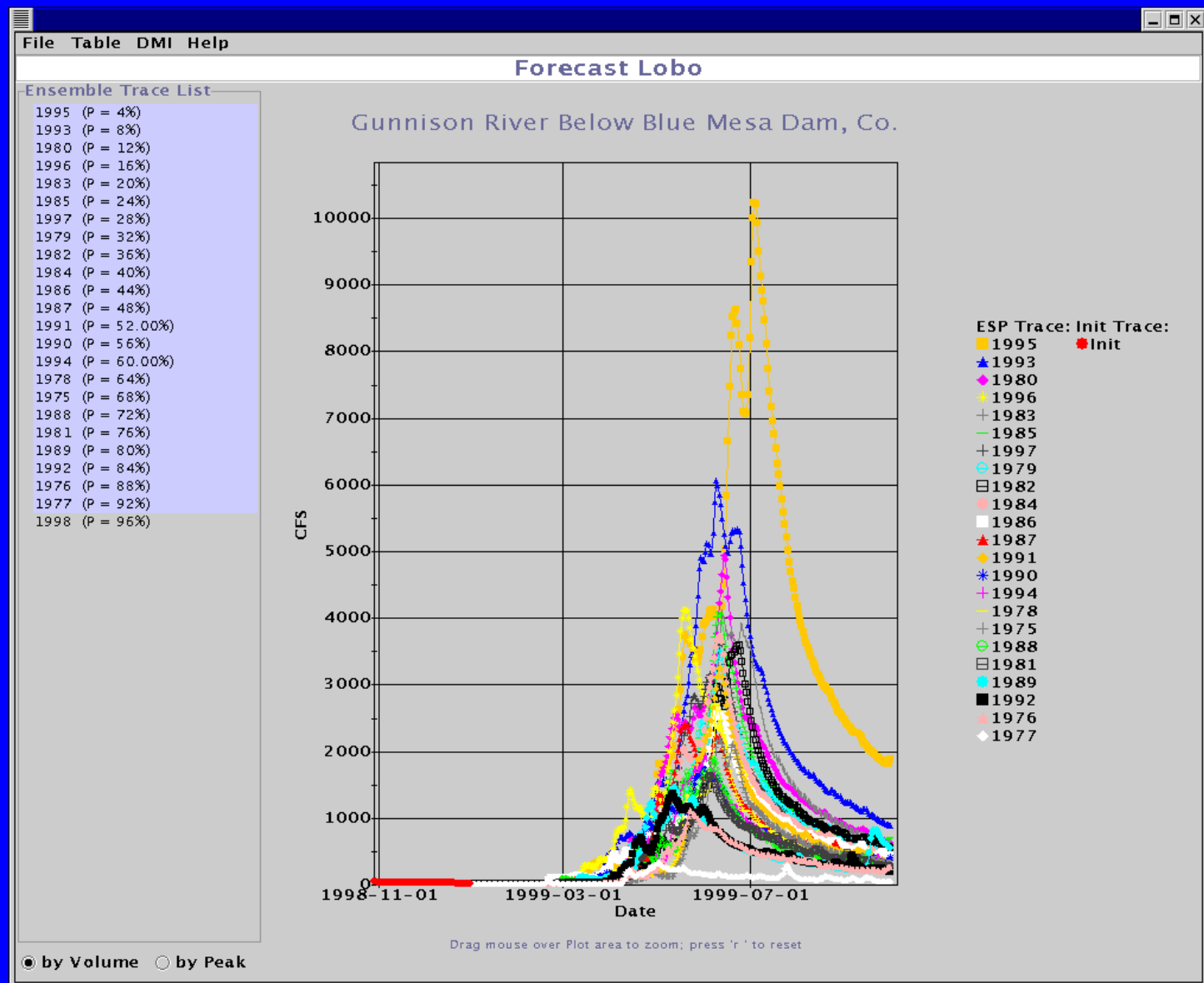


# ENSEMBLE STREAMFLOW PREDICTION



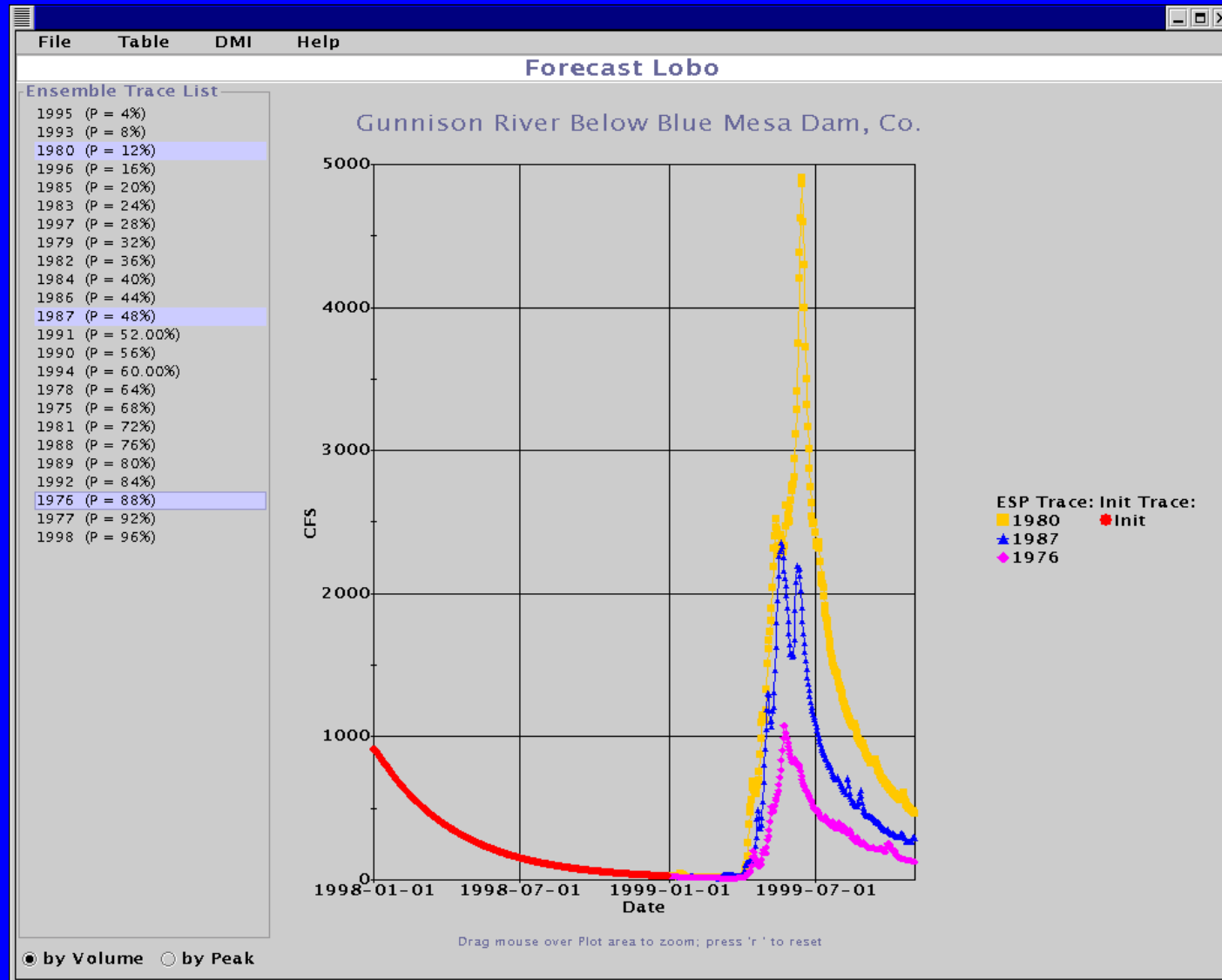
# MMS ESP Tool

All Computed Traces using 1975 - 1998

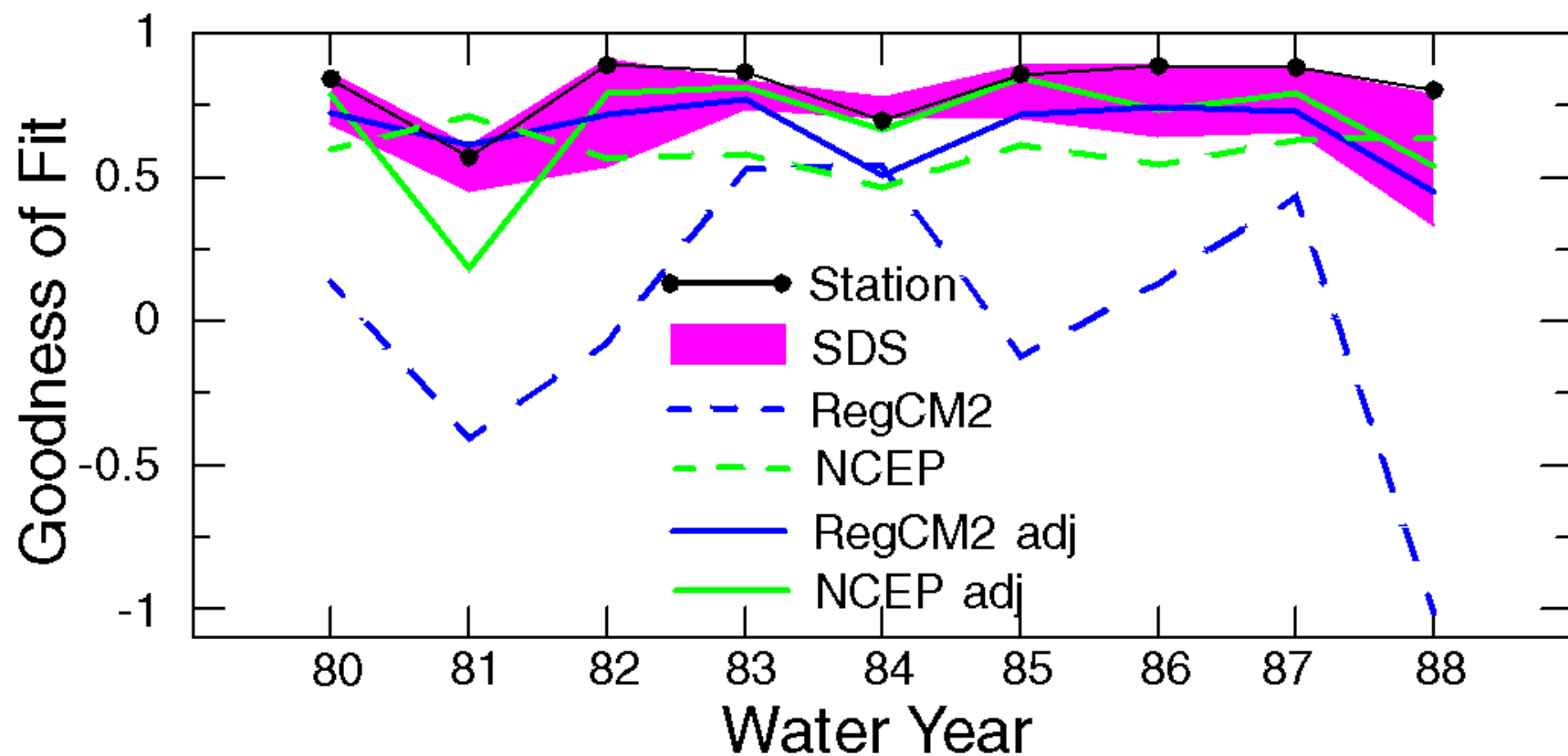


# MMS ESP Tool

Manager selected 10, 50, and 90 % probability of occurrence



# Nash-Sutcliffe Coefficient of Efficiency Scores Simulated vs Observed Daily Streamflow



# Object Modeling System

Olaf David

GPSR

January 17<sup>th</sup> 2001



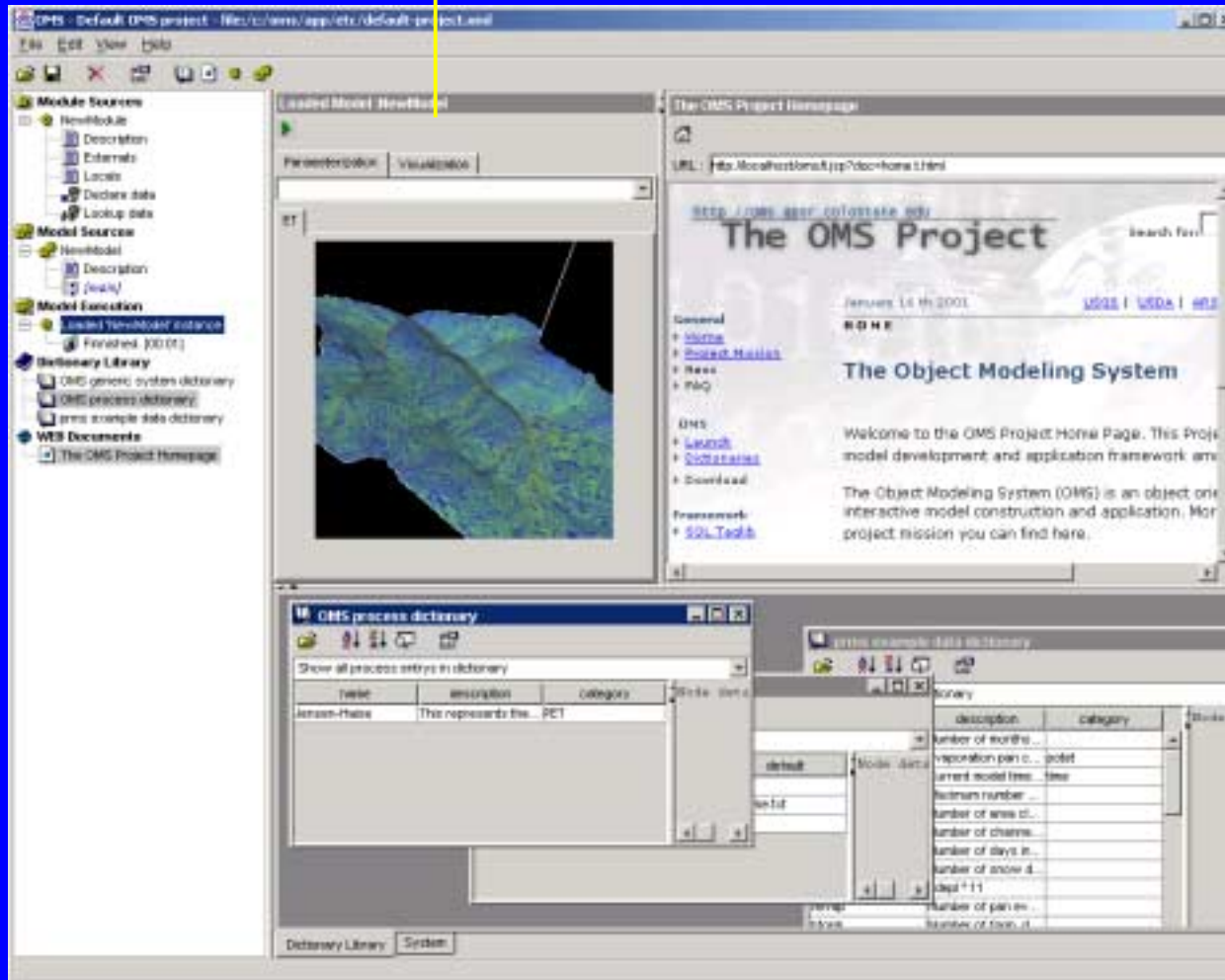
# OVS Key Technologies

- Object-Orientation
  - Model Components, Framework
- JAVA
  - System and Models
- XML
  - Resource Representation
- HTTP / Internet
  - Deployment: System, Resources

# OMS GUI

Module Panel  
Model Src Panel  
Model Loader Panel  
Model Execution Panel

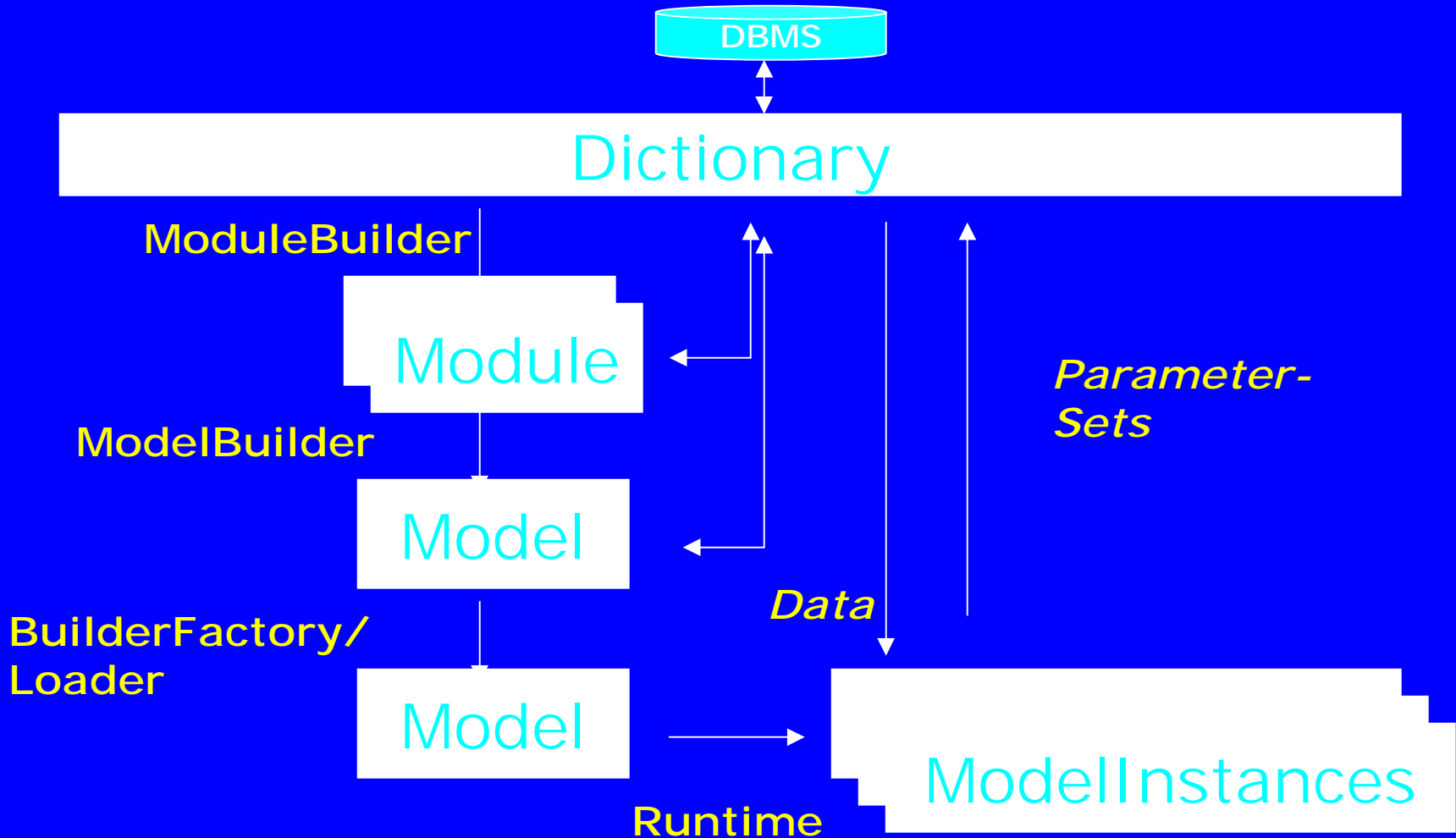
Main  
Control  
Tree



Webbrowser

Dictionary  
Panel

# Workflow





# What is a Modeling Dictionary ?

- Container for different kinds of modeling resources:
  - Processes, Variables, Parameter,
  - Homogeneous vs. heterogeneous
- Presents its content depending on requested views.
- Has associated Rights to manage the container.

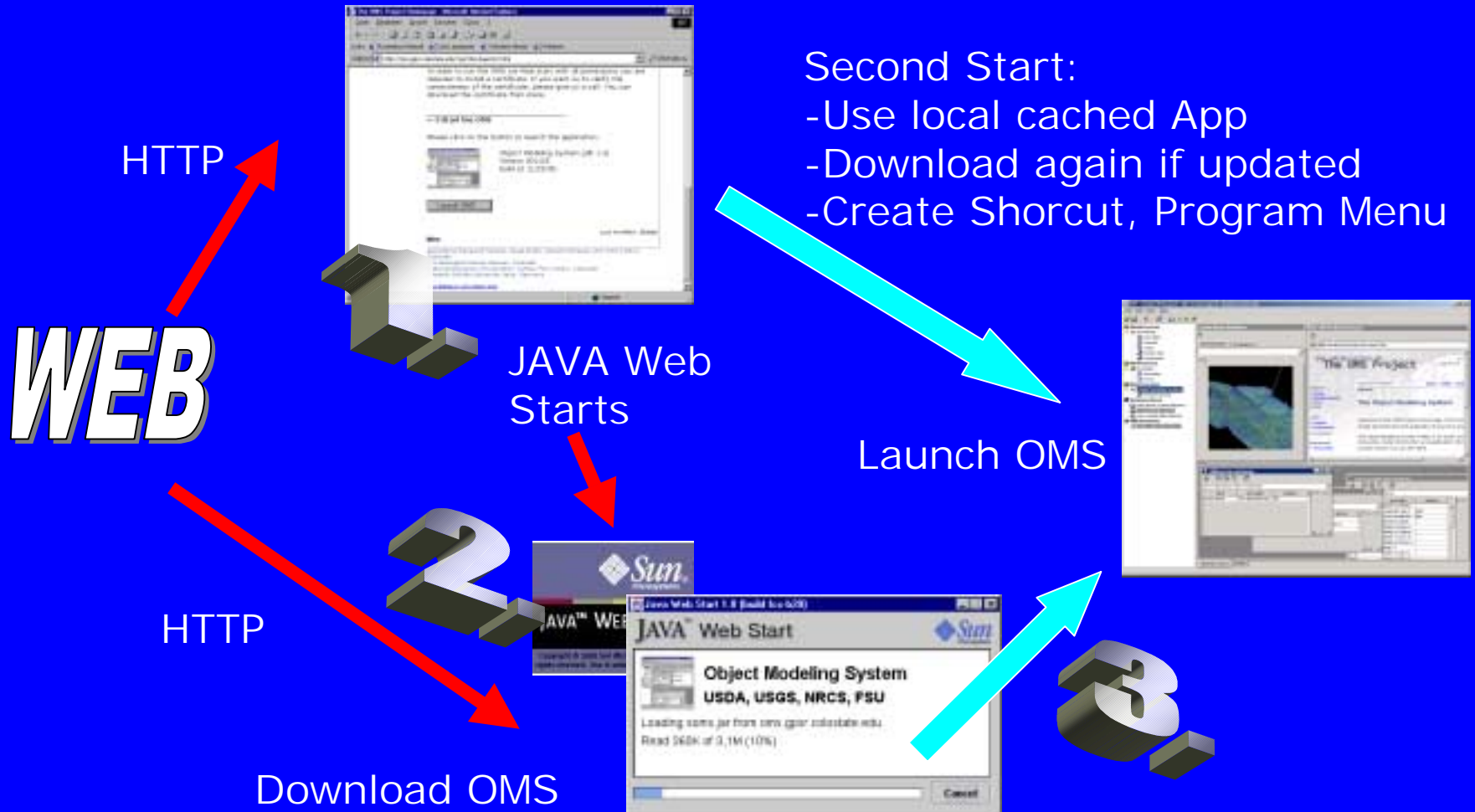
# Dictionary Framework (i)

- XML 1.0 Specification
- Container for
  - Data, Parameter, Processes, Modules, Models,  
...
  - Type set not fixed, !
- OMS can operate with dictionaries at the GUI level

# OMS Deployment

- OMS itself: Java Webstart
- Dictionaries: XML, (SQL/XML)
- Model Code: HTTP accessible jar files
- Documentation: Web/HTTP

# Java WebStart ?



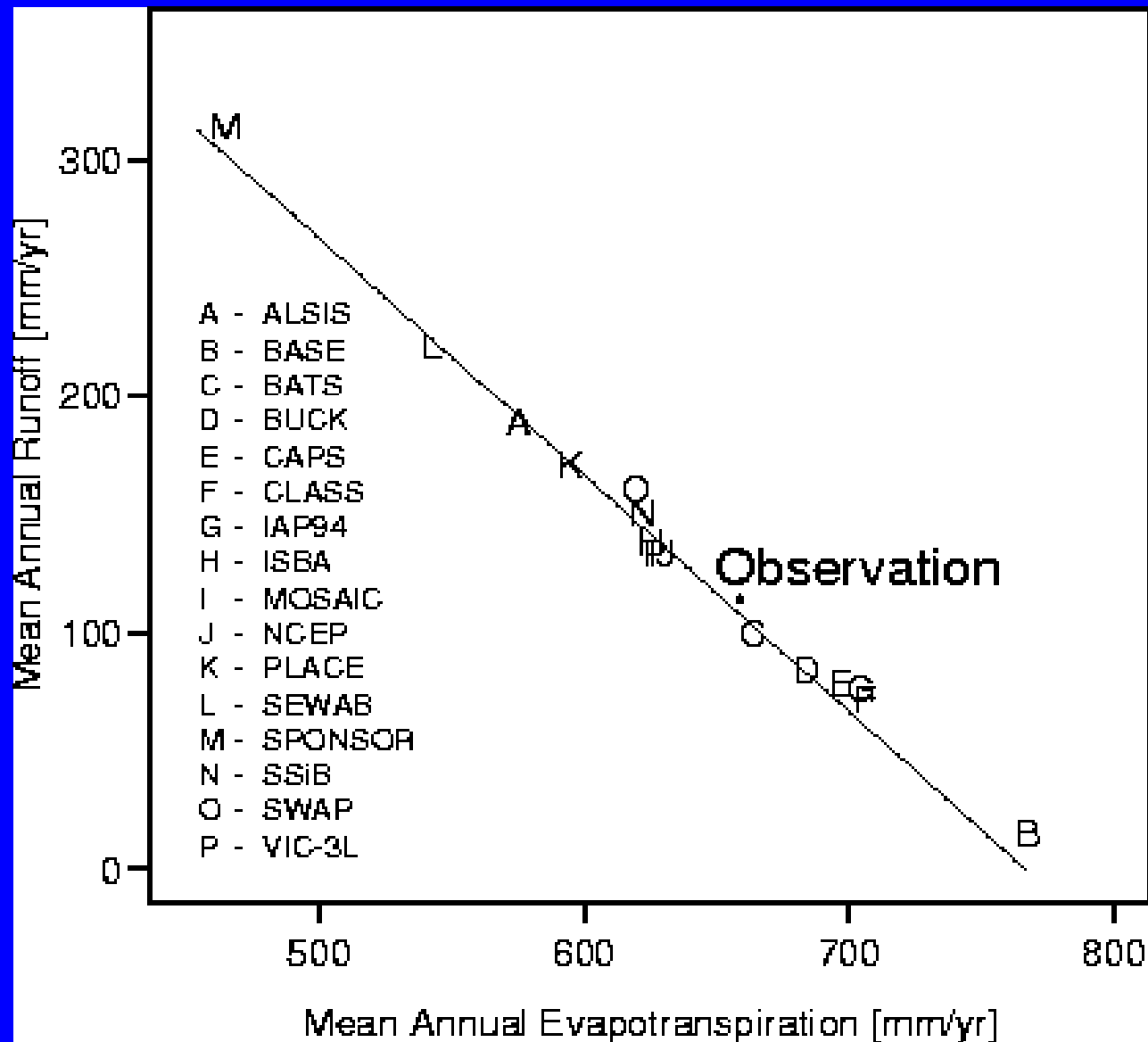
# POINTS

- Experimental science builds on hypothesis testing and interpretation based on earlier published hypotheses and results
- Modelers tend to build from the ground up because existing models are not well designed for incremental improvement by others

# POINTS

- Current trend of model competitions or comparisons are of limited value without addressing the question of “why”
- A modular framework provides the tools to objectively ask the question “why”

# Project for the Intercomparison of Land Surface Parameterization Schemes (PILPS)



# What Are The Costs ?

- Acceptance of a modular coding structure
- Willingness to share module code
- Willingness to share analytical tools
- Willingness to develop and share distributed data sets for a wide range of climatic and physiographic regions of the world
- Loss of model name recognition



# Benefits ?

- Share a community toolbox for model and system development and for addressing the issues of parameter estimation and scale
- Support a flexible framework that enables the incorporation of continuing advances in science, databases, and computer technology

# **MMS COLLABORATORS**

- **Department of Interior**

  - USGS**

  - Bureau of Reclamation**

  - Bureau of Land Management**

- **Department of Agriculture**

  - Agricultural Research Service**

  - Natural Resources Conservation Service**

  - Forest Service**

# MMS COLLABORATORS

- **University of Colorado**
- **University of Arizona**
- **NASA**
- **Department of Energy**
- **Department of Defense**
- **Friedrich Schiller University, Germany**
- **Public Works Research Institute, Japan**

# MODELS AND MODULES IN MMS (USGS)

- PRMS
- TOPMODEL
- DAFLOW (beta)
- 1-D Sediment Transport

# OTHER MODELS AND MODULES IN MMS INCLUDE

- Hydro-17 (NWS snowmelt)
- Snowmelt Runoff Model (SRM) (ARS)
- ENNS Model (modified HBV, Austria)
- Root Zone Water Quality Model (ARS)
- Generic Crop (Corn, Soybeans -ARS)
- Shoot Grow (Wheat - ARS)
- Penman-Monteith (NASA, Japan, Thailand)
- GCM Land Surface Parameterizations  
(Japan-Thailand, UC Davis)

# PRECIPITATION - RUNOFF MODELING PROJECT

OPEN SHOP

CONSULTATION

COLLABORATION

# POINT

**“A fool with a tool  
is still a fool.”**

**Chicken Soup for the Modeling Soul**  
System Development magazine

# MORE INFORMATION

<http://wwwbrr.cr.usgs.gov/mms>

<http://wwwbrr.cr.usgs.gov/weasel>

<http://wwwbrr.cr.usgs.gov/warsmp>

<http://wwwbrr.cr.usgs.gov/projects/>

SW precip runoff/papers